

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2020, Month of September

FISCAL	FUND	ACCOUNT NAME	BEGINNING	CASH	CASH	ENDING
YEAR	NO		CASH BALANCE	RECEIPTS	DISBURSEMENTS	CASH BALANCE
2020	1	GENERAL FUND	26,116,737.80	3,941,482.41	-6,679,836.62	23,378,383.59
2020	5	JURY FUND	0	2,306.00	-2,306.00	0
2020	15	JPD LOCAL FUND	114,253.98	147,568.25	-67,195.29	194,626.94
2020	16	IV-E JUVENILE ADMINISTRATION	98,971.48	0	0	98,971.48
2020	17	JUVENILE PROBATION FEES	34,461.69	325	0	34,786.69
2020	20	ROAD & BRIDGE FUND	917,669.25	96,540.35	-73,763.91	940,445.69
2020	22	TRUANCY COURT	50	0	0	50
2020	23	VETERANS COURT	41,612.74	0	0	41,612.74
2020	24	E.A.S.E. 2.0 GRANT	0	0	0	0
2020	25	EMERGENCY MANAGEMENT FUND	67,484.93	10,000.00	-13,429.50	64,055.43
2020	26	COURTHOUSE RENOVATION FUND	287,810.26	0	0	287,810.26
2020	27	S.C.A.A.P GRANT	41,519.67	0	-233.3	41,286.37
2020	28	--CC VITAL STATISTICS--	12,742.66	0	-3,431.00	9,311.66
2020	29	--CC ARCHIVAL FEE--	890,791.04	0	-57	890,734.04
2020	30	FIRE CODE ENFORCEMENT FUND	64,827.27	0	-5,810.26	59,017.01
2020	31	JUV.DELINQ.PREVENTION FUND	50	0	0	50
2020	33	COURT RECORD PRESERVATION FUN	93,704.38	0	0	93,704.38
2020	34	DIST COURT RECORDS TECHNOLOGY	33,707.76	0	0	33,707.76
2020	35	LAW LIBRARY FUND	143,854.64	0	-6,215.55	137,639.09
2020	36	COUNTY/DIST COURT TECHNOLOGY	19,165.70	0	0	19,165.70
2020	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	0	0	3,810.44
2020	39	JUSTICE COURT SECURITY	24,265.52	0	0	24,265.52
2021	40	D.A. STATE FUND	0	6,436.63	-1,877.43	4,559.20
2020	41	CLICK IT OR TICKET GRANT	0	0	0	0
2020	42	D.A. FORFEITURE FUND	166,979.66	0	0	166,979.66
2020	43	CITIES READINESS INITIATIVE	-3,677.03	1,438.31	-7,024.40	-9,263.12
2020	44	HELP AMERICA VOTE ACT GRANT	49,607.19	0	-10,514.03	39,093.16
2021	45	TEXAS STATE LIBRARY GRANTS	0	0	0	0
2020	47	EMERGENCY MANAGEMENT FED GRAN	204.6	0	0	204.6
2020	49	SHERIFF'S ABANDONED FUND	5,552.25	0	0	5,552.25
2020	50	ERRORS & OMISSION INSURANCE	34,575.54	0	0	34,575.54
2020	51	PUBLIC SAFETY SALES TAX FUND	751,133.09	45,675.40	0	796,808.49
2020	52	CHILD ABUSE PREVENTION FUND	120	0	0	120
2020	53	DIST CLERK RECORDS MANAGEMENT	19,238.57	0	0	19,238.57
2020	54	COURT REPORTER SERVICE FUND	230,164.96	0	-2,272.84	227,892.12
2020	55	APPELLATE JUSTICE SYSTEM FUND	28,549.09	0	0	28,549.09
2020	56	JUSTICE COURT TECHNOLOGY FUND	126,409.86	0	-7,684.41	118,725.45
2020	57	CC RECORDS MANAGEMENT & PRES.	1,468,733.72	200,100.00	-204,424.37	1,464,409.35
2020	58	RECORDS MANAGEMENT & PRES.	91,344.77	0	-395.25	90,949.52
2020	59	COURTHOUSE SECURITY FUND	121,963.73	0	-3,274.51	118,689.22
2020	60	DEBT SERVICE FUND	2,422,656.52	61,035.28	-30,517.64	2,453,174.16
2020	70	LIBRARY CONSTRUCTION-2007 BON	0	0	0	0
2020	73	LIBRARY RENNOVATION	0	0	0	0
2020	76	LIBRARY DONATION FUND	43,248.81	0	0	43,248.81
2020	80	CAPITAL PROJECT-COUNTY SVS.	0	0	0	0
2020	81	ROAD IMPROVEMENTS BOND 2004	21,259,231.37	300,000.00	-617,000.00	20,942,231.37
2020	83	--VETERANS MEMORIAL FUND--	0	0	0	0
2020	84	INTEGRATED JUDICIAL SOFTWARE	0	0	0	0
2021	88	JUVENILE PROBATION DEPARTMENT	0	0	0	0
2020	90	INDIGENT HEALTH CARE FUND	24,057.43	75,000.00	-14,585.80	84,471.63
2020	92	BAIL BOND BOARD FUND	1,287,670.94	0	0	1,287,670.94
2021	94	ADULT PROBATION DEPARTMENT	0	397,715.97	-300,331.56	97,384.41
2021	170	JUVENILE PROBATION GRANTS	0	31,277.87	-25,440.54	5,837.33
2020	185	COUNTY INSURANCE TRUST FUND	584,668.42	1,296,813.57	-1,108,940.98	772,541.01
2020	201	RADIO INTEROPERABILITY FUND	281,728.72	0	-470,092.00	-188,363.28
2020	202	FACILITIES IMPROVEMENT	12,790.18	0	0	12,790.18
2020	203	-COUNTY JL EXPANSION/REMODEL-	46,840,980.14	1,300,000.00	-2,526,458.37	45,614,521.77
2020	490	ELECTION SERVICES FUND	74,669.48	0	0	74,669.48
2020	495	CHAPTER 19 FUND	1,053.51	0	-4,605.28	-3,551.77
SUB-TOTAL			104,931,146.73	7,913,715.04	(12,187,717.84)	100,657,143.93

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2020, Month of September

FISCAL YEAR	FUND NO	ACCOUNT NAME	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020	801	COUNTY CLERK BONDS-8280	23,394.83	0	0	23,394.83
2020	802	COUNTY CLERK BONDS-3716	507,615.22	0	0	507,615.22
2020	810	DISTRICT CLERK REGISTRY	1,590,535.04	0	0	1,590,535.04
2020	811	DISTRICT CLERK ESCROW	289,831.43	0	0	289,831.43
2020	812	DISTRICT CLERK GENERAL	3,569.44	0	0	3,569.44
2020	821	DISTRICT ATTORNEY ESCROW	51,863.18	0	0	51,863.18
2020	822	DISTRICT ATTORNEY MERCHANT	2,303.80	0	0	2,303.80
2020	823	DISTRICT ATTORNEY SEIZURE	102,782.45	0	0	102,782.45
2020	824	DISTRICT ATTORNEY FEE	18,575.07	0	0	18,575.07
2020	831	TAX ASSESSOR - SALES TAX	-699.67	0	0	-699.67
2020	832	TAX ASSESSOR - VIT ESCROW	594,505.00	89,697.10	0	684,202.10
2020	833	TAX ASSESSOR - AUTO REGISTRAT	272,391.72	0	0	272,391.72
2020	834	TAX ASSESSOR - PROPERTY TAX	57,376.98	0	0	57,376.98
2020	835	TAX ASSESSOR - TAX ESCROW	0	0	0	0
2020	836	TAX ASSESSOR-BOAT REGISTRATIO	41,264.85	0	0	41,264.85
2020	837	TAX ASSESSOR-TX ALCHL BEV COM	495	670	-475	690
2020	841	SHERIFF PUBLIC SUPPORT	4,393.50	0	0	4,393.50
2020	842	SHERIFF LAW ENFORCEMENT	119,296.36	0	0	119,296.36
2020	843	SHERIFF CASH ESCROW	120,658.06	29,000.00	-12,250.00	137,408.06
2020	844	SHERIFF OPERATING	1,099.56	0	0	1,099.56
2020	845	SHERIFF INMATE TRUST	101,435.22	0	0	101,435.22
2020	846	SHERIFF LEOSE	5,691.83	0	0	5,691.83
2020	847	SHERIFF PENDING FORFEITURE	0	0	0	0
2020	848	SHERIFF LAW ENFORCEMENT	127,778.27	0	0	127,778.27
2020	849	COUNTY SHERIFF ERAD CARDS	0	0	0	0
2020	851	JAIL INMATE TRUST	94,643.87	0	0	94,643.87
2020	861	LIBRARY OPERATING	30,400.52	0	0	30,400.52
2020	862	LIBRARY READING FOR ADULTS	40,897.21	0	0	40,897.21
2020	871	JUVENILE PROBATION RESTITUTIO	815	0	0	815
2020	881	ADULT PROBATION OPERATING	130,674.06	0	0	130,674.06
SUB-TOTAL			4,333,587.80	119,367.10	(12,725.00)	4,440,229.90
GRAND TOTALS			109,264,734.53	8,033,082.14	(12,200,442.84)	105,097,373.83

County Treasurer's Monthly Report - Debts

For the Period Ending 9/30/2020

Debts Due to the County (see note 2)

Accounts Receivable	49,291,463.62
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Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	5,632,930.25
Road Improvements Series 2012 UTRBs	14,599,206.25
Road Improvements Series 2013 UTRBs	15,261,565.71
Road Improvements Series 2016 UTRBs	16,336,425.00
Refunding Series 2017, UTRBs	8,932,650.00
Refunding Series 2018, LTRBs	21,065,050.00
Refunding Series 2020, UTRBs	25,569,658.33
Permanent Improvement (Jail) and Refunding Bonds, 2020	95,668,716.66

Subtotal Long Term Debt	203,066,202.20
Total Debts Due by the County (see notes 3 and 4)	203,066,202.20

Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 9/30/2020

Quantitative Analysis of Activities

<u>Activity Description</u>	Where Applicable		<u>Count</u>
	Prior Mo. Ending No.	Current Mo. Ending No.	
Receipts Processed	9336	9664	328
Journal Entries Made	20610	20661	51
County checks written & dispositioned	356336	356726	390
Juror checks written & dispositioned	39739	39748	9
Adult Probation checks written & dispositioned	21620	21641	21
Employee Payroll Changes Processed (Incl. Elections)			116
Payroll Hard Copy Checks			35
Payroll Direct Deposit Stubs Processed	623421	624096	675
Payrolls + Direct Dep ACHs Processed			14
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			40
Wire Transfers/EFTs Made - WT#s			12
Retiree Related Transactions			80
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			1
Year-End W2's processed & 1095C's			0

Notes & Commentary

- 1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2019 - Investment Report ¹
September 1, 2020 thru September 30, 2020

			DESCRIPTION	BROKER	FUND		BOOK VALUE	CURRENT % RATE	FY20 to Date EARNINGS RECEIVED ¹	
MANAGED POOL /MONEY MARKET ACCOUNTS										
			LOGIC	LOGIC	Road Bonds/081		\$ 21,236,883.72	0.2565%	92,648.10	
			TEXAS CLASS	TEXAS CLASS	General/001		\$ 10,883,263.54	0.2510%	121,881.12	
			TEXAS CLASS	TEXAS CLASS	Debt Service/060		\$ 2,399,174.67	0.2510%	41,932.28	
			TEXAS CLASS	TEXAS CLASS	APD		\$ 340,452.13	0.2510%	4,084.27	
			TEXAS CLASS	TEXAS CLASS	JPD/015		\$ 272,071.08	0.2510%	3,142.09	
			TEXAS CLASS	TEXAS CLASS	Ins/185		\$ 252,691.55	0.2510%	2,440.62	
			TEXAS CLASS	TEXAS CLASS	Jall Exp/203		\$ 45,604,032.46	0.2510%	54,032.46	
			TEXPOOL	TEXPOOL	General/001		\$ 4,257,447.13	0.1474%	50,259.00	
			TEXPOOL	TEXPOOL	Road & Bridge/020		\$ 469,227.53	0.1474%	10,204.21	
			TEXPOOL	TEXPOOL	Records Mng/057		\$ 1,173,026.68	0.1474%	7,574.86	
			TEXPOOL	TEXPOOL	Radio/201		\$ 205,650.96	0.1474%	547.83	
			TEXPOOL PRIME	TEXPOOL PRIME	General/001		\$ 2,013,865.38	0.2619%	13,433.49	
			TEXPOOL PRIME	TEXPOOL PRIME	Road & Bridge/020		\$ 502,186.28	0.2619%	2,186.28	
			TEXSTAR	TEXSTAR	General/001		\$ 4,749,851.58	0.1339%	32,505.95	
			Northern Trust Municipal	ANB Safekeeping			\$ 45,951.41		1,120.22	
					SUB-TOTAL		\$ 94,405,776.10		\$ 437,992.58	
PURCHASE \$\$	CUSIP	AGENCY	DESCRIPTION	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY20 EARNINGS	MATURITY DATE
500,000.00	3133EG7F6	FFCB	4 yr 1.75% Bullet	Raymond James	General/001	2/16/2017	\$ 500,000.00	1.7500%	8,750.00	2/16/2021
500,000.00	3133EJV8	FFCB	3 yr 2.8% Bullet	Raymond James	General/001	8/14/2018	\$ 500,000.00	2.8000%	14,000.00	8/2/2021
500,000.00	313383WD9	FHLB	3 yr 3.125% Bullet	Raymond James	General/001	9/17/2018	\$ 500,000.00	3.1250%	15,625.00	9/9/2022
500,000.00	313383YJ4	FHLB	5 yr 3.75% Bullet	Raymond James	General/001	9/17/2018	\$ 500,000.00	3.7500%	16,875.00	9/8/2023
					SUB-TOTAL		\$ 2,000,000.00		\$ 55,250.00	
CALLED AND/OR CLOSED INVESTMENTS										
1,000,000.00	3130ADMS8	FHLB	2 yr 2.15% callable qtrly starting 2/14/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.1500%	5,375.00	11/14/2019
1,000,000.00	3134GKN2	FHLMC	2 yr 2.5% callable one time 11/14/19	MBS	General/001	5/14/2019	\$ 1,000,000.00	2.5000%	12,500.00	11/26/2019
200,000.00	78658Q4Z3	SAFRA NAT'L BANK	1 yr CD 2.75%	Raymond James	CC Records/057	12/27/2018	\$ 200,000.00	2.7500%	5,484.93	12/26/2019
1,001,750.00	3134GSM93	FHLMC	5 yr 3.32% callable qtrly starting 6/27/19	Raymond James	General/001	12/27/2018	\$ 1,000,000.00	3.3200%	16,600.00	12/27/2019
1,000,000.00	3133EFZT7	FFCB	4yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%	7,000.00	2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%	6,663.61	3/30/2020
1,000,000.00	3130AG2Z7	FHLB	3 yr 2.65% callable qtrly starting 9/25/19	Vining Sparks	General/001	3/25/2019	\$ 1,000,000.00	2.6500%	13,250.00	3/25/2022
200,000.00	06051VL95	BANK of AMERICA	1 yr CD 1.8%	Raymond James	CC Records/057	9/25/2019	\$ 200,000.00	1.8000%	3,600.00	9/24/2020
			TOTAL INTEREST				\$ 6,200,000.00		\$ 70,473.54	
TOTAL INVESTMENTS & INTEREST EARNINGS:										
							\$ 98,405,776.10		\$ 563,716.12	
				NOTES:						
				1. Earnings received reflects actual interest & dividends for Fiscal Year 2020 to date.						
				2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy.						

David E. Peek, Rockwall County Treasurer