

County Treasurer's Report of Receipts, Disbursements and Balances by Fund						
Fiscal Year 2015, Month of September						
Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH BALANCE	RECEIPTS	DISBURSEMENTS	ENDING CASH BALANCE
2015	1	GENERAL FUND	23,566,009.93	7,686,250.28	(14,122,033.23)	17,130,226.98
2015	5	JURY FUND	-	8,610.00	(8,676.00)	(66.00)
2015	15	JPD LOCAL FUND	127,131.62	3,001.97	(54,936.51)	75,197.08
2015	16	IV-E JUVENILE ADMINISTRATION	131,783.07	22,651.84	(8,401.10)	146,033.81
2015	17	JUVENILE PROBATION FEES	27,398.45	4.06	-	27,402.51
2015	20	ROAD & BRIDGE FUND	2,074,625.91	175,637.17	(173,570.59)	2,076,692.49
2015	23	VETERANS COURT	-	-	-	-
2015	24	E.A.S.E. 2.0 GRANT	158,041.00	158,041.00	(316,082.00)	-
2015	25	EMERGENCY MANAGEMENT FUND	41,411.51	0.25	(12,642.16)	28,769.60
2015	26	COURTHOUSE RENOVATION FUND	59,010.00	975.00	-	59,985.00
2015	27	S.C.A.A.P GRANT	46,438.86	-	(1,741.95)	44,696.91
2015	28	--CC VITAL STATISTICS--	24,109.65	-	-	24,109.65
2015	29	--CC ARCHIVAL FEE--	426,075.49	13.97	-	426,089.46
2015	30	FIRE CODE ENFORCEMENT FUND	111,912.38	-	-	111,912.38
2015	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
2015	33	COURT RECORD PRESERVATION FUND	79,327.42	-	-	79,327.42
2015	34	DIST COURT RECORDS TECHNOLOGY	51,569.24	-	-	51,569.24
2015	35	LAW LIBRARY FUND	199,648.16	478.38	(1,049.06)	199,077.48
2015	36	COUNTY/DIST COURT TECHNOLOGY	12,373.06	-	-	12,373.06
2015	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
2015	39	JUSTICE COURT SECURITY	35,204.71	2.16	-	35,206.87
2016	40	D.A. STATE FUND	14,556.60	-	(1,604.12)	12,952.48
2015	41	CLICK IT OR TICKET GRANT	-	-	-	-
2015	42	D.A. FORFEITURE FUND	113,976.78	7,434.95	(26.58)	121,385.15
2015	43	CITIES READINESS INITIATIVE	374.84	-	(99.97)	274.87
2016	45	TEXAS STATE LIBRARY GRANTS	-	-	-	-
2015	47	EMERGENCY MANAGEMENT FED GRANT	150.00	-	(491.54)	(341.54)
2015	49	SHERIFF'S ABANDONED FUND	11,806.35	92.80	-	11,899.15
2015	50	ERRORS & OMISSION INSURANCE	151,760.43	8.04	-	151,768.47
2015	51	PUBLIC SAFETY SALES TAX FUND	397,895.00	29,245.08	(1,000.00)	426,140.08
2015	52	CHILD ABUSE PREVENTION FUND	-	-	-	-
2015	53	DIST CLERK RECORDS MANAGEMENT	15,990.29	-	(580.52)	15,409.77
2015	54	COURT REPORTER SERVICE FUND	217,876.31	10.74	(600.00)	217,287.05
2015	55	APPELLATE JUSTICE SYSTEM FUND	22,979.69	0.96	-	22,980.65
2015	56	JUSTICE COURT TECHNOLOGY FUND	185,040.13	570.53	(925.91)	184,684.75
2015	57	CC RECORDS MANAGEMENT & PRES.	954,851.55	200,668.20	(203,418.67)	952,101.08
2015	58	RECORDS MANAGEMENT & PRES.	136,292.69	4.40	(3,038.24)	133,258.85
2015	59	COURTHOUSE SECURITY, FUND	76,420.78	620.09	(18,708.59)	58,332.28
2015	60	DEBT SERVICE FUND	680,530.41	7,439.95	-	687,970.36
2015	70	LIBRARY CONSTRUCTION-2007 BOND	24,856.28	1.99	-	24,858.27
2015	73	LIBRARY RENNOVATION	547.70	-	-	547.70
2015	74	COUNTY INFORMATION TECHNOLOGY	58,444.68	-	-	58,444.68
2015	76	LIBRARY DONATION FUND	25,689.66	-	(800.00)	24,889.66
2015	80	CAPITAL PROJECT-COUNTY SVS.	3.45	-	-	3.45
2015	81	ROAD IMPROVEMENTS BOND 2004	8,437,866.69	270,925.49	(542,829.29)	8,165,962.89
2015	83	--VETERANS MEMORIAL FUND--	7.56	-	-	7.56
2015	84	INTEGRATED JUDICIAL SOFTWARE	933,901.95	-	(35,637.83)	898,264.12
2016	85	JPD TEXAS PARKS & WILDLIFE GRT	54.82	-	-	54.82
2016	88	JUVENILE PROBATION DEPARTMENT	30,768.96	5,465.00	(28,379.06)	7,854.90
2015	90	INDIGENT HEALTH CARE FUND	50,031.47	10,326.14	(14,629.90)	45,727.71
2015	92	BAIL BOND BOARD FUND	1,256,705.93	100,003.97	-	1,356,709.90
2016	94	ADULT PROBATION DEPARTMENT	588,703.59	204,489.54	(153,628.58)	639,564.55

<b>County Treasurer's Report of Receipts, Disbursements and Balances by Fund</b>						
<b>Fiscal Year 2015, Month of September</b>						
<b>Fiscal Year</b>	<b>FUND NUMBER</b>	<b>ACCOUNT NAME</b>	<b>BEGINNING CASH BALANCE</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>ENDING CASH BALANCE</b>
2016	170	JUVENILE PROBATION GRANTS	-	-	-	-
2015	185	COUNTY INSURANCE TRUST FUND	472,344.81	581,510.58	(549,040.25)	504,815.14
2015	201	RADIO INTEROPERABILITY FUND	38,318.46	4,700,000.00	-	4,738,318.46
2015	490	ELECTION SERVICES FUND	114,507.19	579.60	(161.54)	114,925.25
2015	495	CHAPTER 19 FUND	4,528.26	4,517.00	(4,003.27)	5,041.99
		<b>SUB-TOTAL</b>	<b>42,193,714.21</b>	<b>14,179,581.13</b>	<b>(16,258,736.46)</b>	<b>40,114,558.88</b>
2015	801	COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
2015	802	COUNTY CLERK BONDS-3716	206,646.47	-	-	206,646.47
2015	811	DISTRICT CLERK ESCROW	359,982.89	-	-	359,982.89
2015	812	DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
2015	821	DISTRICT ATTORNEY ESCROW	66,180.93	-	-	66,180.93
2015	822	DISTRICT ATTORNEY MERCHANT	2,303.80	-	-	2,303.80
2015	823	DISTRICT ATTORNEY SEIZURE	70,056.45	-	-	70,056.45
2015	824	DISTRICT ATTORNEY FEE	38,266.99	-	-	38,266.99
2015	831	TAX ASSESSOR - SALES TAX	1,885,380.55	-	-	1,885,380.55
2015	832	TAX ASSESSOR - VIT ESCROW	511,609.19	82,237.39	-	593,846.58
2015	833	TAX ASSESSOR - AUTO REGISTRATI	372,673.86	-	-	372,673.86
2015	834	TAX ASSESSOR - PROPERTY TAX	59,696.88	60.00	(2,310.00)	57,446.88
2015	835	TAX ASSESSOR - TAX ESCROW	-	-	-	-
2015	836	TAX ASSESSOR-BOAT REGISTRATION	26,965.67	-	-	26,965.67
2015	841	SHERIFF PUBLIC SUPPORT	71.50	1,750.65	(1,775.65)	46.50
2015	842	SHERIFF LAW ENFORCEMENT	281,074.67	-	(957.80)	280,116.87
2015	843	SHERIFF CASH ESCROW	54,197.96	81,643.24	(52,418.61)	83,422.59
2015	844	SHERIFF OPERATING	415.29	-	-	415.29
2015	845	SHERIFF INMATE TRUST	9,910.04	-	-	9,910.04
2015	846	SHERIFF LEOSE	8,860.58	-	(1,750.65)	7,109.93
2015	847	SHERIFF PENDING FORFEITURE	252,873.62	6.81	(113,793.28)	139,087.15
2015	848	SHERIFF LAW ENFORCEMENT	25,507.90	1,577.20	(1,665.75)	25,419.35
2015	851	JAIL INMATE TRUST	86,068.39	51,513.25	(57,228.44)	80,353.20
2015	861	LIBRARY OPERATING	230,502.99	-	-	230,502.99
2015	862	LIBRARY READING FOR ADULTS	40,155.40	-	-	40,155.40
2015	871	JUVENILE PROBATION RESTITUTION	1,712.25	1,375.39	(1,212.25)	1,875.39
2015	881	ADULT PROBATION OPERATING	120,041.22	109,176.76	(116,318.79)	112,899.19
		<b>SUB-TOTAL</b>	<b>4,738,119.76</b>	<b>329,340.69</b>	<b>(349,431.22)</b>	<b>4,718,029.23</b>
		<b>GRAND TOTALS</b>	<b>46,931,833.97</b>	<b>14,508,921.82</b>	<b>(16,608,167.68)</b>	<b>44,832,588.11</b>

# County Treasurer's Monthly Report - Debts

For the Period Ending 9/30/2015

## Debts Due to the County (see note 2)

Accounts Receivable	20,152,533.41
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## Debts due by the County (see notes 3 & 4)

### Long Term Debt Obligations of the County

Capital Purchases Series 2005 LTNs- payoff - 1/31/2012	-
County Library Series 2007 PIBs	10,497,003.77
Capital Purchases Series 2007 LTNs-payoff 1/31/14	-
County Services Building Series 2008A LTNs-payoff 1/31/15	-
New Courthouse Series 2009 LTRBs	31,650,946.98
Road Improvements Series 2009 UTRBs	13,782,575.06
Refunding Series 2010, LTRBs	7,800,796.25
Road Improvements Series 2010 UTRBs	3,070,927.50
Road Improvements Series 2012 UTRBs	20,684,693.75
Road Improvements Series 2012A UTRBs	30,373,193.75
Road Improvements Series 2013 UTRBs	20,274,972.01

Subtotal Long Term Debt	138,135,109.07
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Total Debts Due by the County (see notes 3 and 4)	138,135,109.07
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### Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

# County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 9/30/2015

## Quantitative Analysis of Activities

<u>Activity Description</u>	<u>Where Applicable</u>		<u>Count</u>
	<u>Prior Mo. Ending No.</u>	<u>Current Mo. Ending No.</u>	
Receipts Processed - R#s	88802	89166	364
Journal Entries Made - CT#s	15526	15591	65
County checks written & dispositioned	327281	327753	472
Juror checks written & dispositioned	23467	23803	336
Adult Probation checks written & dispositioned	20467	20480	13
Employee Payroll Changes Processed (Incl. Elections)			178
Payroll Hard Copy Checks			33
Payroll Direct Deposit Stubs Processed	581564	582155	591
Payrolls + Direct Dep ACHs Processed			30
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			33
Wire Transfers/EFTs Made - WT#s	13565	13577	12
Retiree Related Transactions			42
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			3
Year-End W2's processed			0

## Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

**County of Rockwall, Texas**  
**Fiscal Year 2015 - Investment Report**  
**September 1, 2015 thru September 31, 2015**

			Description	BROKER	FUND		BOOK VALUE	CURRENT % RATE	FY15 to Date EARNINGS RECEIVED <sup>1</sup>
<b>MANAGED POOL /MONEY MARKET ACCOUNTS</b>									
			PFM FUNDS	PFM FUNDS	General/001		\$ 2,003,355.14	0.1200%	2,380.97
			TEXAS CLASS	TEXAS CLASS	General/001		\$ 2,310,245.77	0.1900%	7,350.79
			TEXPOOL	TEXPOOL	General/001		\$ 1,325,634.11	0.0850%	2,964.26
			TEXSTAR	TEXSTAR	General/001		\$ 135,602.03	0.0994%	78.34
			TEXPOOL	TEXPOOL	Road & Bridge/020		\$ 2,017,506.51	0.0850%	1,006.48
			TEXAS CLASS	TEXAS CLASS	Debt Service/060		\$ 676,032.01	0.1900%	2,558.92
			LOGIC	LOGIC	Road Bonds/081		\$ 8,162,296.16	0.1354%	11,083.44
			TEXAS CLASS	TEXAS CLASS	Multiple		\$ 732,924.20	0.1900%	1,051.93
			TEXPOOL	TEXPOOL	Multiple		\$ 1,396,927.16	0.0850%	755.98
			TEXSTAR	TEXSTAR	Multiple		\$ 24,148.91	0.0994%	16.24
			Northern Trust Municipal	ANB Safekeeping	Multiple		\$ 73,308.41		8.20
					<b>SUB-TOTAL</b>		<b>\$ 18,857,980.41</b>		<b>\$ 29,255.55</b>

Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY15 EARNINGS	MATURITY DATE
200,000.00	38148JPH8	Goldman Sachs	1 yr CD .45% matures 4/1/16	Raymond James	CC Records/057	4/17/2015	\$ 200,000.00	0.4500%		4/1/2016
1,000,000.00	3130A3QU1	FHLB	2 year .75% only time callable 12/30/2015	Raymond James	General/001	12/30/2014	\$ 1,000,000.00	0.7500%	3,750.00	12/30/2016
1,000,000.00	3130A3ML5	FHLB	2.25 year .75% only time callable 3/30/2015	Vining Sparks	General/001	12/30/2014	\$ 1,000,000.00	0.7500%	5,625.00	3/30/2017
1,000,000.00	3130A4K92	FHLB	2.50 year 1.05% Only callable on 3/29/16	Raymond James	General/001	3/30/2015	\$ 1,000,000.00	1.050003%	5,220.83	9/29/2017
500,000.00	3130A4B27	FHLB	2 yr 8 mo 1.00% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.000105%	833.33	10/27/2017
1,000,000.00	3130A3B86	FHLB	3 year 1.25% Only time callable 10/30/15	Raymond James	General/001	10/30/2014	\$ 1,000,000.00	1.2500%	6,250.00	10/30/2017
1,000,000.00	3133EECG3	FFCB	3 year 1.14% Callable anytime after 11/20/2015	Raymond James	General/001	11/20/2014	\$ 1,000,000.00	1.1400%	5,700.00	11/20/2017
1,000,000.00	3133EEFP0	FFCB	3 year 1.20% Callable anytime after 12/18/2015	Raymond James	General/001	12/18/2014	\$ 1,000,000.00	1.2000%	6,000.00	12/18/2017
500,000.00	3130A4DP4	FHLB	3 yr 6 mo 1.3% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.3000%	3,250.00	8/27/2018
1,000,000.00	3136G2HK6	FNMA	4 yr 1.4% Only callable 5/20/16	Raymond James	General/001	5/20/2015	\$ 1,000,000.00	1.4000%		5/20/2019
1,000,000.00	3136G2JJ7	FNMA	5 year 1.8% Callable 5/27/16;thereafter qtrly	Vining Sparks	General/001	5/27/2015	\$ 1,000,000.00	1.8000%		5/27/2020
1,000,000.00	3130A5L98	FHLB	5 year 2.0% callable qtrly starting 6/30/16	Vining Sparks	General/001	6/30/2015	\$ 1,000,000.00	2.0000%		6/30/2020
					<b>SUB-TOTAL</b>		<b>\$ 10,200,000.00</b>		<b>\$ 36,629.16</b>	

<b>CALLED AND/OR CLOSED INVESTMENTS</b>										
			LOGIC	LOGIC	General/001		\$ -	0.0843%	16.48	CLOSED 12/17/14
1,000,000.00	3130A2NA0	FHLB	3 year 1.08% 1st time callable 10/28/14	Raymond James	General/001	7/28/2014	\$ 1,000,000.00	1.0800%	2,700.00	CALLED 10/28/2014
1,000,000.00	3130A0ZW3	FHLB	3 year .90% Qtrly callable starting 6/17/2014	Raymond James	General/001	2/24/2014	\$ 1,000,000.00	0.9000%	2,250.00	CALLED 12/17/2014
1,000,000.00	3134G5KP9	FHLMC	2 year .70% Only time callable 1/21/15	Vining Sparks	General/001	10/21/2014	\$ 1,000,000.00	0.7000%	1,750.00	CALLED 1/21/15
1,000,000.00	3134G5EH4	FHLMC	2 year .60% Qtrly callable starting 10/29/14	Vining Sparks	General/001	7/29/2014	\$ 1,000,000.00	0.6000%	4,500.00	CALLED 4/29/15
1,000,000.00	3134G5XH3	FHLMC	5 year 2% Qtrly callable starting 4/30/15	Vining Sparks	General/001	1/30/2015	\$ 1,000,000.00	2.0000%	5,000.00	CALLED 4/30/15
1,000,000.00	3134G5XJ9	FHLMC	3.5 year 1.55% Qtrly callable starting 4/30/15	Raymond James	General/001	1/30/2015	\$ 1,000,000.00	1.5500%	3,875.00	CALLED 4/30/15
1,000,000.00	3130A4AG7	FHLB	5 year 2.05% Qtrly callable starting 5/27/15	Vining Sparks	General/001	2/27/2015	\$ 1,000,000.00	2.0500%	5,125.00	CALLED 5/27/15
1,000,000.00	3134G5RB3	FHLMC	2.75 year 1.0% Only time callable 5/28/15	Vining Sparks	General/001	11/28/2014	\$ 1,000,000.00	1.0000%	5,000.00	CALLED 5/28/15
1,000,000.00	3136G8MU4	FHLMC	3 year .75% Callable Qtrly starting 7/17/15	Vining Sparks	General/001	4/17/2015	\$ 1,000,000.00	0.7500%	1,875.00	CALLED 7/17/15
1,000,000.00	3134G6AA1	FHLMC	2 year .70% Only time callable 7/30/15	Raymond James	General/001	1/30/2015	\$ 1,000,000.00	0.7000%	3,500.00	CALLED 7/30/15
1,000,000.00	3133EEY53	FFCB	3 year 1.40% Only time callable 9/18/15	Raymond James	General/001	6/18/2015	\$ 1,000,000.00	1.4000%	3,500.00	CALLED 9/18/15
200,000.00	78658QMK6	SAFRA Natl Bank	6 mo CD .30% matures 9/30/15	Raymond James	CC Records/057	3/30/2015	\$ 200,000.00	0.3000%	302.47	MATURED 9/30/2015
			<b>TOTAL INTEREST</b>						<b>\$ 39,393.95</b>	
<b>TOTAL INVESTMENTS &amp; INTEREST EARNINGS:</b>							<b>\$ 29,057,980.41</b>		<b>\$ 105,278.66</b>	

*David E. Peek*  
**David E. Peek, Rockwall County Treasurer**

**NOTES:**  
1. Earnings received reflects actual interest & dividends for Fiscal Year 2015 to date.  
2. The investment portfolio is in compliance with the PFI and with the County's Investment Policy.