

County Treasurer's Report of Receipts, Disbursements and Balances by Fund							
Fiscal Year 2015, Month of October							
SEQ #	Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH BALANCE	RECEIPTS	DISBURSEMENTS	ENDING CASH BALANCE
2	2016	1	GENERAL FUND	17,069,890.11	3,841,663.53	(6,257,353.68)	14,654,199.96
5	2016	5	JURY FUND	(66.00)	9,218.00	(9,146.00)	6.00
8	2016	15	JPD LOCAL FUND	75,197.08	139,154.74	(56,848.61)	157,503.21
11	2016	16	IV-E JUVENILE ADMINISTRATION	146,033.81	22.66	(238.31)	145,818.16
14	2016	17	JUVENILE PROBATION FEES	27,402.51	508.35	-	27,910.86
17	2016	20	ROAD & BRIDGE FUND	2,079,225.85	220,285.38	(280,156.50)	2,019,354.73
20	2016	23	VETERANS COURT	889.00	206.50	-	1,095.50
23	2016	24	E.A.S.E. 2.0 GRANT	-	316,082.00	(316,082.00)	-
26	2016	25	EMERGENCY MANAGEMENT FUND	28,769.60	43,567.77	(18,235.94)	54,101.43
29	2016	26	COURTHOUSE RENOVATION FUND	61,800.00	2,085.00	-	63,885.00
32	2016	27	S.C.A.A.P GRANT	44,696.91	-	(4,641.36)	40,055.55
35	2016	28	--CC VITAL STATISTICS--	24,578.65	-	(900.00)	23,678.65
38	2016	29	--CC ARCHIVAL FEE--	446,369.46	16.38	(200,000.00)	246,385.84
41	2016	30	FIRE CODE ENFORCEMENT FUND	111,912.38	645.56	(2,565.59)	109,992.35
44	2016	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
47	2016	33	COURT RECORD PRESERVATION FUND	80,417.42	1,160.00	-	81,577.42
50	2016	34	DIST COURT RECORDS TECHNOLOGY	52,679.24	1,345.00	-	54,024.24
53	2016	35	LAW LIBRARY FUND	202,842.48	3,338.91	(13,235.09)	192,946.30
56	2016	36	COUNTY/DIST COURT TECHNOLOGY	12,576.98	35.45	-	12,612.43
63	2016	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
66	2016	39	JUSTICE COURT SECURITY	35,206.87	2.54	-	35,209.41
69	2016	40	D.A. STATE FUND	12,952.48	6,117.86	(2,421.48)	16,648.86
72	2016	41	CLICK IT OR TICKET GRANT	-	-	-	-
75	2016	42	D.A. FORFEITURE FUND	121,385.15	13.15	(890.06)	120,508.24
78	2016	43	CITIES READINESS INITIATIVE	274.87	-	(15,237.70)	(14,962.83)
81	2016	45	TEXAS STATE LIBRARY GRANTS	-	-	-	-
84	2016	47	EMERGENCY MANAGEMENT FED GRANT	(341.54)	491.54	(191.55)	(41.55)
87	2016	49	SHERIFF'S ABANDONED FUND	11,899.15	0.31	-	11,899.46
90	2016	50	ERRORS & OMISSION INSURANCE	151,778.47	39.39	-	151,817.86
93	2016	51	PUBLIC SAFETY SALES TAX FUND	426,140.08	26,258.97	(1,500.00)	450,899.05
96	2016	52	CHILD ABUSE PREVENTION FUND	-	-	-	-
99	2016	53	DIST CLERK RECORDS MANAGEMENT	16,007.27	680.00	(805.28)	15,881.99
102	2016	54	COURT REPORTER SERVICE FUND	218,907.05	1,437.61	(2,640.00)	217,704.66
105	2016	55	APPELLATE JUSTICE SYSTEM FUND	23,530.65	501.17	-	24,031.82
108	2016	56	JUSTICE COURT TECHNOLOGY FUND	185,041.09	597.08	(387.36)	185,250.81
111	2016	57	CC RECORDS MANAGEMENT & PRES.	972,718.58	315,327.32	(615,609.19)	672,436.71
114	2016	58	RECORDS MANAGEMENT & PRES.	135,054.24	907.41	(359.67)	135,601.98
117	2016	59	COURTHOUSE SECURITY FUND	61,496.64	56,684.18	(33,098.36)	85,082.46
124	2016	60	DEBT SERVICE FUND	687,970.36	198,764.05	(7,332.08)	879,402.33
151	2016	70	LIBRARY CONSTRUCTION-2007 BOND	24,858.27	2.27	-	24,860.54
154	2016	73	LIBRARY RENNOVATION	547.70	-	-	547.70
157	2016	74	COUNTY INFORMATION TECHNOLOGY	58,444.68	-	-	58,444.68
160	2016	76	LIBRARY DONATION FUND	24,889.66	-	(543.00)	24,346.66
163	2016	80	CAPITAL PROJECT-COUNTY SVS.	3.45	-	-	3.45
166	2016	81	ROAD IMPROVEMENTS BOND 2004	8,165,962.89	981,047.19	(1,959,345.87)	7,187,664.21
169	2016	83	--VETERANS MEMORIAL FUND--	7.56	-	-	7.56
172	2016	84	INTEGRATED JUDICIAL SOFTWARE	898,264.12	-	(39,064.98)	859,199.14
175	2016	85	JPD TEXAS PARKS & WILDLIFE GRT	54.82	-	-	54.82
178	2016	88	JUVENILE PROBATION DEPARTMENT	7,854.90	-	(15,060.52)	(7,205.62)
185	2016	90	INDIGENT HEALTH CARE FUND	45,727.71	50,197.90	(20,874.14)	75,051.47
188	2016	92	BAIL BOND BOARD FUND	1,356,709.90	4.66	(53,454.55)	1,303,260.01
191	2016	94	ADULT PROBATION DEPARTMENT	639,564.55	316,203.38	(367,622.77)	588,145.16
194	2016	170	JUVENILE PROBATION GRANTS	-	-	(27,547.32)	(27,547.32)
197	2016	185	COUNTY INSURANCE TRUST FUND	504,815.14	495,399.48	(517,849.33)	482,365.29
200	2016	201	RADIO INTEROPERABILITY FUND	4,738,318.46	4,700,296.01	(4,700,000.00)	4,738,614.47
203	2016	490	ELECTION SERVICES FUND	114,925.25	2,761.25	(932.50)	116,754.00
206	2016	495	CHAPTER 19 FUND	5,041.99	2,437.78	(100.70)	7,379.07
			SUB-TOTAL	40,115,088.38	11,735,507.73	(15,542,271.49)	36,308,324.62
209	2016	801	COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
212	2016	802	COUNTY CLERK BONDS-3716	218,896.47	-	-	218,896.47

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SEQ #	Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH BALANCE	RECEIPTS	DISBURSEMENTS	ENDING CASH BALANCE
215	2016	811	DISTRICT CLERK ESCROW	517,632.89	-	-	517,632.89
218	2016	812	DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
221	2016	821	DISTRICT ATTORNEY ESCROW	51,039.06	-	-	51,039.06
224	2016	822	DISTRICT ATTORNEY MERCHANT	2,303.80	-	-	2,303.80
227	2016	823	DISTRICT ATTORNEY SEIZURE	65,272.45	-	-	65,272.45
230	2016	824	DISTRICT ATTORNEY FEE	38,061.04	-	-	38,061.04
233	2016	831	TAX ASSESSOR - SALES TAX	1,885,380.55	-	-	1,885,380.55
236	2016	832	TAX ASSESSOR - VIT ESCROW	593,846.58	-	-	593,846.58
239	2016	833	TAX ASSESSOR - AUTO REGISTRATI	337,635.08	-	-	337,635.08
246	2016	834	TAX ASSESSOR - PROPERTY TAX	57,446.88	-	-	57,446.88
249	2016	835	TAX ASSESSOR - TAX ESCROW	-	-	-	-
252	2016	836	TAX ASSESSOR-BOAT REGISTRATION	26,965.67	-	-	26,965.67
255	2016	841	SHERIFF PUBLIC SUPPORT	46.50	-	-	46.50
258	2016	842	SHERIFF LAW ENFORCEMENT	280,116.87	-	-	280,116.87
261	2016	843	SHERIFF CASH ESCROW	83,422.59	-	-	83,422.59
264	2016	844	SHERIFF OPERATING	673.06	-	-	673.06
267	2016	845	SHERIFF INMATE TRUST	13,124.33	-	-	13,124.33
270	2016	846	SHERIFF LEOSE	7,109.93	-	-	7,109.93
273	2016	847	SHERIFF PENDING FORFEITURE	139,087.15	-	-	139,087.15
276	2016	848	SHERIFF LAW ENFORCEMENT	25,419.35	-	-	25,419.35
279	2016	851	JAIL INMATE TRUST	80,353.20	44,507.05	(43,991.71)	80,868.54
282	2016	861	LIBRARY OPERATING	228,973.48	-	-	228,973.48
285	2016	862	LIBRARY READING FOR ADULTS	39,913.84	-	-	39,913.84
288	2016	871	JUVENILE PROBATION RESTITUTION	1,875.39	512.96	(1,375.39)	1,012.96
291	2016	881	ADULT PROBATION OPERATING	112,899.19	-	-	112,899.19
			SUB-TOTAL	4,834,459.62	45,020.01	(45,367.10)	4,834,112.53
			GRAND TOTALS	44,949,548.00	11,780,527.74	(15,587,638.59)	41,142,437.15

# County Treasurer's Monthly Report - Debts

For the Period Ending 10/31/2015

## Debts Due to the County (see note 2)

Accounts Receivable	20,216,727.15
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## Debts due by the County (see notes 3 & 4)

### Long Term Debt Obligations of the County

Capital Purchases Series 2005 LTNs- payoff - 1/31/2012	-
County Library Series 2007 PIBs	10,497,003.77
Capital Purchases Series 2007 LTNs-payoff 1/31/14	-
County Services Building Series 2008A LTNs-payoff 1/31/15	-
New Courthouse Series 2009 LTRBs	31,650,946.98
Road Improvements Series 2009 UTRBs	13,782,575.06
Refunding Series 2010, LTRBs	7,800,796.25
Road Improvements Series 2010 UTRBs	3,070,927.50
Road Improvements Series 2012 UTRBs	20,684,693.75
Road Improvements Series 2012A UTRBs	30,373,193.75
Road Improvements Series 2013 UTRBs	20,274,972.01

Subtotal Long Term Debt	138,135,109.07
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Total Debts Due by the County (see notes 3 and 4)	138,135,109.07
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### Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

# County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 10/31/2015

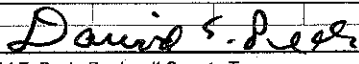
## Quantitative Analysis of Activities

<u>Activity Description</u>	<b>Where Applicable</b>		<u>Count</u>
	<u>Prior Mo. Ending No.</u>	<u>Current Mo. Ending No.</u>	
Receipts Processed - R#s	89166	89540	374
Journal Entries Made - CT#s	15591	15666	75
County checks written & dispositioned	327768	328333	565
Juror checks written & dispositioned	23803	24154	351
Adult Probation checks written & dispositioned	20480	20504	24
Employee Payroll Changes Processed (Incl. Elections)			487
Payroll Hard Copy Checks			38
Payroll Direct Deposit Stubs Processed	582155	583047	892
Payrolls + Direct Dep ACHs Processed			36
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			38
Wire Transfers/EFTs Made - WT#s	13577	13599	22
Retiree Related Transactions			42
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			0
Year-End W2's processed			0

## Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

**County of Rockwall, Texas**  
**Fiscal Year 2016 - Investment Report<sup>1</sup>**  
**October 1, 2015 thru October 31, 2015**

			Description	BROKER	FUND		BOOK VALUE	CURRENT % RATE	FY16 to Date EARNINGS RECEIVED <sup>1</sup>		
<b>MANAGED POOL /MONEY MARKET ACCOUNTS</b>											
			PFM FUNDS	PFM FUNDS	General/001		\$ 1,503,561.75	0.1400%	206.61		
			TEXAS CLASS	TEXAS CLASS	General/001		\$ 1,310,552.07	0.2100%	306.30		
			TEXPOOL	TEXPOOL	General/001		\$ 1,426,462.19	0.0966%	112.56		
			TEXSTAR	TEXSTAR	General/001		\$ 135,614.69	0.1099%	12.66		
			TEXPOOL	TEXPOOL	Road & Bridge/020		\$ 1,992,671.16	0.0966%	164.65		
			TEXPOOL	TEXPOOL	Radio/201		\$ 4,700,296.01	0.0966%	296.01		
			TEXAS CLASS	TEXAS CLASS	Debt Service/060		\$ 676,154.73	0.2100%	122.72		
			LOGIC	LOGIC	Road Bonds/081		\$ 7,183,343.35	0.1635%	1,047.19		
			TEXAS CLASS	TEXAS CLASS	Multiple		\$ 733,057.29	0.2100%	133.09		
			TEXPOOL	TEXPOOL	Multiple		\$ 1,282,036.46	0.0966%	109.30		
			TEXSTAR	TEXSTAR	Multiple		\$ 24,151.18	0.1099%	2.27		
			Northern Trust Municipal	ANB Safekeeping	Multiple		\$ 8,750.51		0.51		
							<b>SUB-TOTAL</b>	<b>\$ 20,976,651.39</b>		<b>\$ 2,513.87</b>	
Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY16 EARNINGS	MATURITY DATE	
200,000.00	38148JPH8	Goldman Sachs	1 yr CD .45% matures 4/1/16	Raymond James	CC Records/057	4/17/2015	\$ 200,000.00	0.4500%		4/1/2016	
200,000.00	78658QRB1	SAFR	1 yr CD.60% matures 10/14/16	Raymond James	CC Records/057	10/15/2015	\$ 200,000.00	0.6000%		10/14/2016	
1,000,000.00	3130A3QU1	FHLB	2 year .75% only time callable 12/30/2015	Raymond James	General/001	12/30/2014	\$ 1,000,000.00	0.7500%		12/30/2016	
1,000,000.00	3130A3ML5	FHLB	2.25 year .75% only time callable 3/30/2015	Vining Sparks	General/001	12/30/2014	\$ 1,000,000.00	0.7500%		3/30/2017	
1,000,000.00	3130A4K92	FHLB	2.50 year 1.05% Only callable on 3/29/16	Raymond James	General/001	3/30/2015	\$ 1,000,000.00	1.050003%		9/29/2017	
500,000.00	3130A4B27	FHLB	2 yr 8 mo 1.00% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.000105%	2,500.00	10/27/2017	
1,000,000.00	3133EECG3	FFCB	3 year 1.14% Callable anytime after 11/20/2015	Raymond James	General/001	11/20/2014	\$ 1,000,000.00	1.1400%		11/20/2017	
1,000,000.00	3133EEFP0	FFCB	3 year 1.20% Callable anytime after 12/18/2015	Raymond James	General/001	12/18/2014	\$ 1,000,000.00	1.2000%		12/18/2017	
500,000.00	3130A4DP4	FHLB	3 yr 6 mo 1.3% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.3000%		8/27/2018	
1,000,000.00	3136G2HK6	FNMA	4 yr 1.4% Only callable 5/20/16	Raymond James	General/001	5/20/2015	\$ 1,000,000.00	1.4000%		5/20/2019	
1,000,000.00	3136G2JJ7	FNMA	5 year 1.8% Callable 5/27/16;thereafter qtrly	Vining Sparks	General/001	5/27/2015	\$ 1,000,000.00	1.8000%		5/27/2020	
1,000,000.00	3130A5L98	FHLB	5 year 2.0% callable qtrly starting 6/30/16	Vining Sparks	General/001	6/30/2015	\$ 1,000,000.00	2.0000%		6/30/2020	
							<b>SUB-TOTAL</b>	<b>\$ 9,400,000.00</b>		<b>\$ 2,500.00</b>	
<b>CALLED AND/OR CLOSED INVESTMENTS</b>											
1,000,000.00	3130A3B86	FHLB	3 year 1.25% Only time callable 10/30/15	Raymond James	General/001	10/30/2014	\$ 1,000,000.00	1.2500%	6,250.00	<b>CALLED 10/30/2015</b>	
			TOTAL INTEREST						<b>\$ 6,250.00</b>		
<b>TOTAL INVESTMENTS &amp; INTEREST EARNINGS:</b>							<b>\$ 30,376,651.39</b>		<b>\$ 11,263.87</b>		
				<b>NOTES:</b>							
				1. Earnings received reflects actual interest & dividends for Fiscal Year 2016 to date.							
				2. The investment portfolio is in compliance with the PFA and with the County's Investment Policy.							
 David E. Peek, Rockwall County Treasurer											