

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2016, Month of September

Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH	RECEIPTS	DISBURSEMENTS	ENDING CASH
			BALANCE			BALANCE
2016	1	GENERAL FUND	20,950,332.60	3,015,503.69	(5,530,429.84)	18,435,406.45
2016	5	JURY FUND	(350.00)	16,374.00	(16,356.00)	(332.00)
2016	15	JPD LOCAL FUND	148,474.49	143.00	(65,022.79)	83,594.70
2016	16	IV-E JUVENILE ADMINISTRATION	99,010.42	4,061.78	(7,725.07)	95,347.13
2016	17	JUVENILE PROBATION FEES	23,120.54	250.23	-	23,370.77
2016	20	ROAD & BRIDGE FUND	1,874,047.58	151,658.15	(110,744.24)	1,914,961.49
2016	22	TRUANCY COURT	50.00	-	-	50.00
2016	23	VETERANS COURT	10,709.04	882.74	(1,107.74)	10,484.04
2016	24	E.A.S.E. 2.0 GRANT	-	-	-	-
2016	25	EMERGENCY MANAGEMENT FUND	50,017.02	0.83	(19,122.63)	30,895.22
2016	26	COURTHOUSE RENOVATION FUND	95,900.37	1,845.37	(1,665.37)	96,080.37
2016	27	S.C.A.A.P GRANT	25,650.78	3,683.62	(10,128.57)	19,205.83
2016	28	--CC VITAL STATISTICS--	21,201.48	-	-	21,201.48
2016	29	--CC ARCHIVAL FEE--	296,784.42	62.61	-	296,847.03
2016	30	FIRE CODE ENFORCEMENT FUND	115,370.00	15,081.00	-	130,451.00
2016	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
2016	33	COURT RECORD PRESERVATION FUND	94,491.32	700.25	(710.25)	94,481.32
2016	34	DIST COURT RECORDS TECHNOLOGY	66,979.49	1,715.25	(1,725.25)	66,969.49
2016	35	LAW LIBRARY FUND	192,131.66	3,868.98	(13,785.59)	182,215.01
2016	36	COUNTY/DIST COURT TECHNOLOGY	15,374.82	143.33	(143.33)	15,374.82
2016	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
2016	39	JUSTICE COURT SECURITY	33,483.59	9.65	-	33,493.24
2017	40	D.A. STATE FUND	9,242.12	3,187.18	(510.35)	11,918.95
2016	41	CLICK IT OR TICKET GRANT	-	-	-	-
2016	42	D.A. FORFEITURE FUND	135,575.13	45.86	(952.99)	134,668.00
2016	43	CITIES READINESS INITIATIVE	281.41	-	-	281.41
2017	45	TEXAS STATE LIBRARY GRANTS	(285.84)	-	-	(285.84)
2016	47	EMERGENCY MANAGEMENT FED GRANT	(7,341.61)	-	-	(7,341.61)
2016	49	SHERIFF'S ABANDONED FUND	13,613.56	356.20	-	13,969.76
2016	50	ERRORS & OMISSION INSURANCE	152,198.15	45.95	(10.12)	152,233.98
2016	51	PUBLIC SAFETY SALES TAX FUND	434,536.84	24,664.34	(1,000.00)	458,201.18
2016	52	CHILD ABUSE PREVENTION FUND	271.89	121.74	(393.63)	-
2016	53	DIST CLERK RECORDS MANAGEMENT	15,016.19	760.46	(2,729.37)	13,047.28
2016	54	COURT REPORTER SERVICE FUND	221,342.53	1,683.53	(2,850.40)	220,175.66
2016	55	APPELLATE JUSTICE SYSTEM FUND	24,877.59	764.43	(765.12)	24,876.90
2016	56	JUSTICE COURT TECHNOLOGY FUND	173,869.67	313.09	(2,119.56)	172,063.20
2016	57	CC RECORDS MANAGEMENT & PRES.	832,875.87	79.17	(10,934.23)	822,020.81
2016	58	RECORDS MANAGEMENT & PRES.	136,080.34	1,594.63	(2,094.85)	135,580.12
2016	59	COURTHOUSE SECURITY FUND	70,158.32	1,056.09	(28,859.53)	42,354.88
2016	60	DEBT SERVICE FUND	890,339.15	96,850.71	(84,666.38)	902,523.48
2016	70	LIBRARY CONSTRUCTION-2007 BOND	24,924.09	8.20	-	24,932.29
2016	73	LIBRARY RENNOVATION	547.70	-	-	547.70
2016	74	COUNTY INFORMATION TECHNOLOGY	-	-	-	-
2016	76	LIBRARY DONATION FUND	24,286.95	-	-	24,286.95
2016	80	CAPITAL PROJECT-COUNTY SVS.	3.45	-	-	3.45
2016	81	ROAD IMPROVEMENTS BOND 2004	11,133,130.31	106,627.01	(197,940.13)	11,041,817.19
2016	83	--VETERANS MEMORIAL FUND--	7.56	-	-	7.56
2016	84	INTEGRATED JUDICIAL SOFTWARE	388,685.03	3,637.57	(39,204.44)	353,118.16
2017	85	JPD TEXAS PARKS & WILDLIFE GRT	54.82	-	-	54.82
2017	88	JUVENILE PROBATION DEPARTMENT	22,166.80	-	-	22,166.80
2016	90	INDIGENT HEALTH CARE FUND	51,100.25	1.20	(22,745.18)	28,356.27
2016	92	BAIL BOND BOARD FUND	1,304,090.15	17.60	-	1,304,107.75
2017	94	ADULT PROBATION DEPARTMENT	475,769.47	319,382.25	(326,567.10)	468,584.62
2017	170	JUVENILE PROBATION GRANTS	30,902.82	14,065.00	(40,222.23)	4,745.59
2016	185	COUNTY INSURANCE TRUST FUND	779,432.76	398,457.70	(351,792.90)	826,097.56
2016	201	RADIO INTEROPERABILITY FUND	2,339,399.28	65,728.10	(129,701.58)	2,275,425.80
2016	202	FACILITIES IMPROVEMENT	66,432.78	-	-	66,432.78
2016	490	ELECTION SERVICES FUND	66,742.84	-	(5,593.34)	61,149.50
2016	495	CHAPTER 19 FUND	8,491.48	-	(3,655.79)	4,835.69
		SUB-TOTAL	43,935,459.91	4,255,432.45	(7,033,975.89)	41,156,916.47

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2016, Month of September

Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH	RECEIPTS	DISBURSEMENTS	ENDING CASH
			BALANCE			BALANCE
2016	801	COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
2016	802	COUNTY CLERK BONDS-3716	368,049.19	-	-	368,049.19
2016	811	DISTRICT CLERK ESCROW	381,121.52	-	-	381,121.52
2016	812	DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
2016	821	DISTRICT ATTORNEY ESCROW	50,893.93	-	-	50,893.93
2016	822	DISTRICT ATTORNEY MERCHANT	2,303.82	-	-	2,303.82
2016	823	DISTRICT ATTORNEY SEIZURE	77,260.45	-	-	77,260.45
2016	824	DISTRICT ATTORNEY FEE	34,474.99	-	-	34,474.99
2016	831	TAX ASSESSOR - SALES TAX	(2,448.15)	-	-	(2,448.15)
2016	832	TAX ASSESSOR - VIT ESCROW	565,537.83	89,807.81	-	655,345.64
2016	833	TAX ASSESSOR - AUTO REGISTRATI	305,465.91	-	-	305,465.91
2016	834	TAX ASSESSOR - PROPERTY TAX	59,166.88	2,365.00	(1,720.00)	59,811.88
2016	835	TAX ASSESSOR - TAX ESCROW	-	-	-	-
2016	836	TAX ASSESSOR-BOAT REGISTRATION	27,000.84	-	-	27,000.84
2016	841	SHERIFF PUBLIC SUPPORT	46.50	-	-	46.50
2016	842	SHERIFF LAW ENFORCEMENT	217,645.30	-	-	217,645.30
2016	843	SHERIFF CASH ESCROW	179,156.06	-	-	179,156.06
2016	844	SHERIFF OPERATING	1,075.56	-	-	1,075.56
2016	845	SHERIFF INMATE TRUST	40,337.71	-	-	40,337.71
2016	846	SHERIFF LEOSE	11,439.70	-	-	11,439.70
2016	847	SHERIFF PENDING FORFEITURE	-	-	-	-
2016	848	SHERIFF LAW ENFORCEMENT	129,417.89	-	-	129,417.89
2016	851	JAIL INMATE TRUST	84,730.37	-	-	84,730.37
2016	861	LIBRARY OPERATING	265,968.93	-	-	265,968.93
2016	862	LIBRARY READING FOR ADULTS	37,252.91	-	-	37,252.91
2016	871	JUVENILE PROBATION RESTITUTION	11,078.01	2,587.64	(2,587.64)	11,078.01
2016	881	ADULT PROBATION OPERATING	131,882.45	-	-	131,882.45
		SUB-TOTAL	3,005,822.87	94,760.45	(4,307.64)	3,096,275.68
		GRAND TOTALS	46,941,282.78	4,350,192.90	(7,038,283.53)	44,253,192.15

County Treasurer's Monthly Report - Debts

For the Period Ending 09/30/2016

Debts Due to the County (see note 2)

Accounts Receivable	22,327,567.36
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Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	8,273,795.50
County Library Series 2007 PIBs	571,200.00
New Courthouse Series 2009 LTRBs	29,983,778.22
Road Improvements Series 2009 UTRBs	13,057,300.06
Refunding Series 2010, LTRBs	6,903,258.75
Road Improvements Series 2010 UTRBs	2,907,457.50
Road Improvements Series 2012 UTRBs	19,468,276.25
Road Improvements Series 2012A UTRBs	28,434,006.25
Road Improvements Series 2013 UTRBs	19,737,090.75
Road Improvements Series 2016 UTRBs	20,021,632.50

Subtotal Long Term Debt	149,357,795.78
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Total Debts Due by the County (see notes 3 and 4)	149,357,795.78
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Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 9/30/16

Quantitative Analysis of Activities

<u>Activity Description</u>	<u>Where Applicable</u>		<u>Count</u>
	<u>Prior Mo. Ending No.</u>	<u>Current Mo. Ending No.</u>	
Receipts Processed - R#s	93155	93520	365
Journal Entries Made - CT#s	16459	16533	74
County checks written & dispositioned	333342	333885	543
Juror checks written & dispositioned	27293	27606	313
Adult Probation checks written & dispositioned	20650	20681	31
Employee Payroll Changes Processed (Incl. Elections)			98
Payroll Hard Copy Checks			67
Payroll Direct Deposit Stubs Processed	589364	590251	887
Payrolls + Direct Dep ACHs Processed			30
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			36
Wire Transfers/EFTs Made - WT#s	13830	13839	9
Retiree Related Transactions			55
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			3
Year-End W2's processed & 1095C's			

Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2016 - Investment Report¹
September 1, 2016 thru September 30, 2016

	Description	BROKER	FUND	BOOK VALUE	CURRENT % RATE	FY16 to Date EARNINGS RECEIVED ¹
MANAGED POOL /MONEY MARKET ACCOUNTS						
	PFM FUNDS	PFM FUNDS	General/001	\$ 2,613,768.36	0.2700%	10,413.22
	TEXAS CLASS	TEXAS CLASS	General/001	\$ 5,142,445.29	0.7700%	32,023.09
	TEXPOOL	TEXPOOL	General/001	\$ 2,294,553.31	0.3799%	6,498.54
	TEXSTAR	TEXSTAR	General/001	\$ 136,018.15	0.4123%	416.12
	TEXPOOL	TEXPOOL	Road & Bridge/020	\$ 1,823,063.01	0.3799%	5,556.50
	TEXPOOL	TEXPOOL	Radio/201	\$ 2,272,029.40	0.3799%	9,711.12
	TEXAS CLASS	TEXAS CLASS	Debt Service/060	\$ 890,610.59	0.7700%	9,784.60
	LOGIC	LOGIC	Road Bonds/081	\$ 11,038,009.08	0.7248%	53,368.92
	TEXAS CLASS	TEXAS CLASS	Multiple	\$ 997,503.00	0.7700%	4,955.23
	TEXPOOL	TEXPOOL	Multiple	\$ 1,519,855.38	0.3799%	4,228.23
	TEXSTAR	TEXSTAR	Multiple	\$ 24,222.93	0.4123%	74.02
	Northern Trust Municipal	ANB Safekeeping	Multiple	\$ 11,200.00		18.99
			SUB-TOTAL	\$ 28,763,278.51		\$ 137,048.58

Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY16 EARNINGS	MATURITY DATE
200,000.00	78658QW2	SAFR	1 yr CD .70% matures 04/13/17	Raymond James	CC Records/057	4/13/2016	\$ 200,000.00	0.7000%		4/13/2017
200,000.00	78658QRB1	SAFR	1 yr CD.60% matures 10/14/16	Raymond James	CC Records/057	10/15/2015	\$ 200,000.00	0.6000%		10/14/2016
1,000,000.00	3130A3ML5	FHLB	2.25 year .75% only time callable 3/30/2015	Vining Sparks	General/001	12/30/2014	\$ 1,000,000.00	0.7500%	7,500.00	3/30/2017
1,000,000.00	3138G2ZF7	FNMA	3 yr 1.2% Callable 8/26/16; thereafter qtrly	Raymond James	General/001	2/26/2016	\$ 1,000,000.00	1.2000%	6,000.00	2/26/2019
1,000,000.00	3133EFZT7	FFCB	4yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%	7,000.00	2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%	7,450.00	3/30/2020
1,000,000.00	3130A6Y43	FHLB	5 year 2.0% callable qtrly starting 12/30/16	Vining Sparks	General/001	12/30/2015	\$ 1,000,000.00	2.0000%	10,000.00	12/30/2020
500,000.00	3130A4B27	FHLB	2 yr 8 mo 1.00% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.000105%	5,000.00	10/27/2017
500,000.00	3130A4DP4	FHLB	3 yr 6 mo 1.3% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.3000%	6,500.00	8/27/2018
						SUB-TOTAL	\$ 6,400,000.00		\$ 49,450.00	

CALLED AND/OR CLOSED INVESTMENTS										
1,000,000.00	3130A3B86	FHLB	3 year 1.25% Only time callable 10/30/15	Raymond James	General/001	10/30/2014	\$ 1,000,000.00	1.2500%	6,250.00	10/30/2015 CALLED
1,000,000.00	3130A3QU1	FHLB	2 year .75% only time callable 12/30/2015	Raymond James	General/001	12/30/2014	\$ 1,000,000.00	0.7500%	3,750.00	12/31/2015 CALLED
1,000,000.00	3133EECG3	FFCB	3 year 1.14% Callable anytime after 11/20/2015	Raymond James	General/001	11/20/2014	\$ 1,000,000.00	1.1400%	7,758.33	1/25/16 CALLED
1,000,000.00	3133EEFP0	FFCB	3 year 1.20% Callable anytime after 12/18/2015	Raymond James	General/001	12/18/2014	\$ 1,000,000.00	1.2000%	7,300.00	1/27/16 CALLED
1,000,000.00	3134G85V7	FHLMC	4 yr 1.75% Callable 2/26/16; thereafter qtrly	Vining Sparks	General/001	11/30/2015	\$ 1,000,000.00	1.7500%	4,180.56	2/26/16 CALLED
1,000,000.00	3134G8CP2	FHLMC	3 year 1.45% Callable 3/28/16; thereafter qtrly	Vining Sparks	General/001	12/28/2015	\$ 1,000,000.00	1.4500%	3,925.00	3/28/16 CALLED
1,000,000.00	3130A4K92	FHLB	2.50 year 1.05% Only callable on 3/29/16	Raymond James	General/001	3/30/2015	\$ 1,000,000.00	1.050003%	5,250.00	3/29/16 CALLED
200,000.00	38148JPH8	Goldman Sachs	1 yr CD .45% matures 4/1/16	Raymond James	CC Records/057	4/17/2015	\$ 200,000.00	0.4500%	902.47	04/01/16 MATURED
1,000,000.00	3136G2HK6	FNMA	4 yr 1.4% Only callable 5/20/16	Raymond James	General/001	5/20/2015	\$ 1,000,000.00	1.4000%	14,000.00	05/20/16 CALLED
1,000,000.00	3136G2JJ7	FNMA	5 year 1.8% Callable 5/27/16; thereafter qtrly	Vining Sparks	General/001	5/27/2015	\$ 1,000,000.00	1.8000%	18,000.00	05/27/16 CALLED
1,000,000.00	3130A5L98	FHLB	5 year 2.0% callable qtrly starting 6/30/16	Vining Sparks	General/001	6/30/2015	\$ 1,000,000.00	2.0000%	20,000.00	06/30/16 CALLED
1,000,000.00	3134G8DX4	FHLMC	5 year 1.5% step up callable qtrly starting 6/30/16	Raymond James	General/001	12/30/2015	\$ 1,000,000.00	1.5000%	7,500.00	06/30/16 CALLED
1,000,000.00	3134G8EE5	FHLMC	5 year 2.0% callable qtrly starting 6/30/16	Raymond James	General/001	12/30/2015	\$ 1,000,000.00	2.0000%	10,000.00	06/30/16 CALLED
1,000,000.00	3133EFWE3	FFCB	4 yr 1.51% Callable 4/27/16 and anytime thereafter	Raymond James	General/001	1/27/2016	\$ 1,000,000.00	1.5100%	6,827.23	07/05/16 CALLED
1,000,000.00	3134G8HD4	FHLMC	2 yrs 9 mo 1.30% Callable 7/29/16; thereafter qtrly	Vining Sparks	General/001	1/29/2016	\$ 1,000,000.00	1.3000%	6,500.00	07/29/16 CALLED
			TOTAL INTEREST						\$ 121,843.59	
TOTAL INVESTMENTS & INTEREST EARNINGS:							\$ 35,163,278.51		\$ 308,142.17	

David E. Peek
David E. Peek, Rockwall County Treasurer

NOTES:
1. Earnings received reflects actual interest & dividends for Fiscal Year 2016 to date.
2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy.