

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2018, Month of September

FISCAL YEAR	FUND NO	ACCOUNT NAME	BEGINNING	CASH	CASH	ENDING
			CASH BALANCE	RECEIPTS	DISBURSEMENTS	CASH BALANCE
2018	1	GENERAL FUND	21,196,229.41	10,270,197.34	(12,250,948.61)	19,215,478.14
2018	5	JURY FUND	418.00	13,404.00	(14,056.00)	(234.00)
2018	15	JPD LOCAL FUND	301,139.83	44,778.72	(84,930.42)	260,988.13
2018	16	IV-E JUVENILE ADMINISTRATION	103,426.58	176.83	-	103,603.41
2018	17	JUVENILE PROBATION FEES	28,763.64	431.11	-	29,194.75
2018	20	ROAD & BRIDGE FUND	1,686,484.37	362,097.94	(571,096.41)	1,477,485.90
2018	22	TRUANCY COURT	50.00	-	-	50.00
2018	23	VETERANS COURT	28,332.94	630.00	(175.00)	28,787.94
2018	24	E.A.S.E. 2.0 GRANT	-	-	-	-
2018	25	EMERGENCY MANAGEMENT FUND	57,271.77	2.37	(13,831.33)	43,442.81
2018	26	COURTHOUSE RENOVATION FUND	185,662.14	2,190.00	-	187,852.14
2018	27	S.C.A.A.P GRANT	71.45	-	-	71.45
2018	28	--CC VITAL STATISTICS--	16,072.25	433.00	-	16,505.25
2018	29	--CC ARCHIVAL FEE--	593,419.10	19,695.92	(81,685.50)	531,429.52
2018	30	FIRE CODE ENFORCEMENT FUND	99,206.76	-	(1,538.84)	97,667.92
2018	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
2018	33	COURT RECORD PRESERVATION FUN	55,825.64	320.00	-	56,145.64
2018	34	DIST COURT RECORDS TECHNOLOGY	50,084.20	-	-	50,084.20
2018	35	LAW LIBRARY FUND	118,526.43	2,062.81	(8,433.98)	112,155.26
2018	36	COUNTY/DIST COURT TECHNOLOGY	9,778.67	184.00	(419.99)	9,542.68
2018	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
2018	39	JUSTICE COURT SECURITY	31,986.28	51.65	(149.46)	31,888.47
2019	40	D.A. STATE FUND	5,369.78	-	(1,349.18)	4,020.60
2018	41	CLICK IT OR TICKET GRANT	-	-	-	-
2018	42	D.A. FORFEITURE FUND	150,735.01	139.33	(1,648.00)	149,226.34
2018	43	CITIES READINESS INITIATIVE	(12,154.13)	80.00	(1,721.07)	(13,795.20)
2019	45	TEXAS STATE LIBRARY GRANTS	-	-	-	-
2018	47	EMERGENCY MANAGEMENT FED GRAN	(610.24)	-	-	(610.24)
2018	49	SHERIFF'S ABANDONED FUND	18,502.66	6.10	-	18,508.76
2018	50	ERRORS & OMISSION INSURANCE	112,839.38	199.71	-	113,039.09
2018	51	PUBLIC SAFETY SALES TAX FUND	521,389.51	35,698.44	(1,500.00)	555,587.95
2018	52	CHILD ABUSE PREVENTION FUND	1.91	-	(1.91)	-
2018	53	DIST CLERK RECORDS MANAGEMENT	17,356.93	-	(1,085.21)	16,271.72
2018	54	COURT REPORTER SERVICE FUND	239,143.68	1,037.89	(4,411.04)	235,770.53
2018	55	APPELLATE JUSTICE SYSTEM FUND	31,021.92	283.35	-	31,305.27
2018	56	JUSTICE COURT TECHNOLOGY FUND	140,460.80	1,479.22	(2,134.72)	139,805.30
2018	57	CC RECORDS MANAGEMENT & PRES.	1,153,301.33	26,807.00	(18,805.17)	1,161,303.16
2018	58	RECORDS MANAGEMENT & PRES.	95,451.19	1,475.82	(303.05)	96,623.96
2018	59	COURTHOUSE SECURITY FUND	50,617.84	3,685.12	-	54,302.96
2018	60	DEBT SERVICE FUND	1,557,637.55	22,041.54	-	1,579,679.09
2018	70	LIBRARY CONSTRUCTION-2007 BON	11.63	0.05	-	11.68
2018	73	LIBRARY RENNOVATION	547.70	-	-	547.70
2018	76	LIBRARY DONATION FUND	52,619.10	-	(825.00)	51,794.10
2018	80	CAPITAL PROJECT-COUNTY SVS.	3.45	-	-	3.45
2018	81	ROAD IMPROVEMENTS BOND 2004	8,657,995.00	215,797.67	(292,156.25)	8,581,636.42
2018	83	--VETERANS MEMORIAL FUND--	7.56	-	-	7.56
2018	84	INTEGRATED JUDICIAL SOFTWARE	105,929.84	-	(105,423.77)	506.07
2019	85	JPD TEXAS PARKS & WILDLIFE GR	-	-	-	-
2019	88	JUVENILE PROBATION DEPARTMENT	22,166.80	-	-	22,166.80
2018	90	INDIGENT HEALTH CARE FUND	74,457.46	6.88	(14,009.91)	60,454.43
2018	92	BAIL BOND BOARD FUND	1,204,765.74	94.42	-	1,204,860.16
2019	94	ADULT PROBATION DEPARTMENT	447,920.27	315,187.34	(253,536.07)	509,571.54
2019	170	JUVENILE PROBATION GRANTS	24,326.61	44,377.44	(88,425.81)	(19,721.76)
2018	185	COUNTY INSURANCE TRUST FUND	841,170.81	449,036.79	(623,183.85)	667,023.75
2018	201	RADIO INTEROPERABILITY FUND	831,218.69	40.19	-	831,258.88
2018	202	FACILITIES IMPROVEMENT	175,268.62	-	(41,588.00)	133,680.62
2018	490	ELECTION SERVICES FUND	86,398.35	-	-	86,398.35
2018	495	CHAPTER 19 FUND	(2,797.43)	-	(381.08)	(3,178.51)
SUB-TOTAL			41,169,685.22	11,834,129.99	(14,479,754.63)	38,524,060.58

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2018, Month of September

FISCAL YEAR	FUND NO	ACCOUNT NAME	BEGINNING	CASH	CASH	ENDING
			CASH BALANCE	RECEIPTS	DISBURSEMENTS	CASH BALANCE
2018	801	COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
2018	802	COUNTY CLERK BONDS-3716	448,494.86	13,010.00	(17,512.00)	443,992.86
2018	810	DISTRICT CLERK REGISTRY	1,579,750.90	256,000.00	(94,411.00)	1,741,339.90
2018	811	DISTRICT CLERK ESCROW	294,912.86	-	-	294,912.86
2018	812	DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
2018	821	DISTRICT ATTORNEY ESCROW	47,180.13	-	-	47,180.13
2018	822	DISTRICT ATTORNEY MERCHANT	2,303.80	-	-	2,303.80
2018	823	DISTRICT ATTORNEY SEIZURE	129,151.45	-	-	129,151.45
2018	824	DISTRICT ATTORNEY FEE	26,813.16	-	-	26,813.16
2018	831	TAX ASSESSOR - SALES TAX	(1,584.40)	-	-	(1,584.40)
2018	832	TAX ASSESSOR - VIT ESCROW	688,427.34	111,488.38	(12,152.12)	787,763.60
2018	833	TAX ASSESSOR - AUTO REGISTRAT	327,607.30	-	-	327,607.30
2018	834	TAX ASSESSOR - PROPERTY TAX	57,446.88	415.00	-	57,861.88
2018	835	TAX ASSESSOR - TAX ESCROW	-	-	-	-
2018	836	TAX ASSESSOR-BOAT REGISTRATIO	37,977.58	-	-	37,977.58
2018	841	SHERIFF PUBLIC SUPPORT	4,623.69	-	-	4,623.69
2018	842	SHERIFF LAW ENFORCEMENT	286,951.21	-	(151.41)	286,799.80
2018	843	SHERIFF CASH ESCROW	86,406.06	-	-	86,406.06
2018	844	SHERIFF OPERATING	1,024.35	-	-	1,024.35
2018	845	SHERIFF INMATE TRUST	89,217.68	31,674.00	(38,106.31)	82,785.37
2018	846	SHERIFF LEOSE	14,651.19	-	-	14,651.19
2018	847	SHERIFF PENDING FORFEITURE	-	-	-	-
2018	848	SHERIFF LAW ENFORCEMENT	90,526.90	-	-	90,526.90
2018	849	COUNTY SHERIFF ERAD CARDS	-	-	-	-
2018	851	JAIL INMATE TRUST	79,369.04	-	-	79,369.04
2018	861	LIBRARY OPERATING	137,362.75	-	-	137,362.75
2018	862	LIBRARY READING FOR ADULTS	46,424.72	-	-	46,424.72
2018	871	JUVENILE PROBATION RESTITUTIO	1,428.50	-	-	1,428.50
2018	881	ADULT PROBATION OPERATING	134,145.08	142,878.47	(131,105.65)	145,917.90
SUB-TOTAL			4,637,577.30	555,465.85	(293,438.49)	4,899,604.66
GRAND TOTALS			45,807,262.52	12,389,595.84	(14,773,193.12)	43,423,665.24

County Treasurer's Monthly Report - Debts

For the Period Ending 9/30/2018

Debts Due to the County (see note 2)

Accounts Receivable	46,231,349.25
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Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	7,236,768.50
New Courthouse Series 2009 LTRBs	26,649,878.21
Road Improvements Series 2009 UTRBs	357,000.00
Refunding Series 2010, LTRBs	5,111,771.25
Road Improvements Series 2010 UTRBs	2,582,592.50
Road Improvements Series 2012 UTRBs	17,034,341.25
Road Improvements Series 2012A UTRBs	23,698,631.25
Road Improvements Series 2013 UTRBs	17,608,628.23
Road Improvements Series 2016 UTRBs	18,829,600.00
Refunding Series 2017, UTRBs	9,850,300.00

Subtotal Long Term Debt	128,959,511.19
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Total Debts Due by the County (see notes 3 and 4)	128,959,511.19
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Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 9/30/18

Quantitative Analysis of Activities

<u>Activity Description</u>	<u>Where Applicable</u>		<u>Count</u>
	<u>Prior Mo. Ending No.</u>	<u>Current Mo. Ending No.</u>	
Receipts Processed - R#s	1638	1946	308
Journal Entries Made - CT#s	18663	18723	60
County checks written & dispositioned	345185	345650	465
Juror checks written & dispositioned	32917	33304	387
Adult Probation checks written & dispositioned	21099	21118	19
Employee Payroll Changes Processed (Incl. Elections)			298
Payroll Hard Copy Checks			24
Payroll Direct Deposit Stubs Processed	605982	606607	625
Payrolls + Direct Dep ACHs Processed			30
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			36
Wire Transfers/EFTs Made - WT#s			16
Retiree Related Transactions			77
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			1
Year-End W2's processed & 1095C's			

Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2018 - Investment Report¹
September 1, 2018 thru September 30, 2018

	Description	BROKER	FUND	BOOK VALUE	CURRENT % RATE	FY18 to Date EARNINGS RECEIVED ¹
MANAGED POOL /MONEY MARKET ACCOUNTS						
	TEXAS CLASS	TEXAS CLASS	General/001	\$ 3,897,746.02	2.2600%	171,454.52
	TEXPOOL	TEXPOOL	General/001	\$ 1,748,969.44	1.9950%	78,231.79
	TEXSTAR	TEXSTAR	General/001	\$ 862,555.70	1.9995%	25,578.43
	TEXPOOL	TEXPOOL	Road & Bridge/020	\$ 1,388,391.11	1.9950%	24,189.92
	TEXPOOL	TEXPOOL	Radio/201	\$ 24,529.65	1.9950%	3,730.07
	TEXAS CLASS	TEXAS CLASS	Debt Service/060	\$ 984,725.22	2.2600%	25,104.90
	LOGIC	LOGIC	Road Bonds/081	\$ 8,644,809.71	2.2112%	166,237.39
	TEXAS CLASS	TEXAS CLASS	Multiple	\$ 873,481.81	2.2600%	16,569.27
	TEXPOOL	TEXPOOL	Multiple	\$ 1,451,314.21	1.9950%	22,345.28
	TEXSTAR	TEXSTAR	Multiple	\$ 53.38	1.9995%	38.20
	Northern Trust Municipal	ANB Safekeeping	Multiple	\$ -		865.73
		ANB Safekeeping				0.33
	SUB-TOTAL			\$ 19,664,676.25		\$ 534,336.83

Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY18 EARNINGS	MATURITY DATE
200,000.00	38148PTV9	GOLD SACHS	1 yr CD 1.6% matures 12/6/18	Raymond James	CC Records/057	12/6/2017	\$ 200,000.00	1.6000%		12/6/2018
200,000.00	12316LDN4	BUSEY BANK	1 yr CD 2.3% matures 7/23/19	Raymond James	CC Records/057	7/23/2018	\$ 200,000.00	2.3000%		7/23/2019
500,000.00	3133EG7F6	FFCB	4 yr 1.75% Bullet	Raymond James	General/001	2/16/2017	\$ 500,000.00	1.7500%	8,750.00	2/16/2021
501,025.00	3133EJVV8	FFCB	3 yr 2.8% Bullet	Raymond James	General/001	8/14/2018	\$ 501,025.00	2.8000%		8/2/2021
503,800.00	313383WD9	FHLB	3 yr 3.125% Bullet	Raymond James	General/001	9/17/2018	\$ 503,800.00	3.1250%		9/9/2022
509,200.00	313383YJ4	FHLB	5 yr 3.75% Bullet	Raymond James	General/001	9/17/2018	\$ 509,200.00	3.7500%		8/8/2023
1,000,000.00	3138G2ZF7	FNMA	3 yr 1.2% Callable 8/26/16; thereafter qtrly	Raymond James	General/001	2/28/2016	\$ 1,000,000.00	1.2000%	12,000.00	2/26/2019
1,000,000.00	3130ADMS8	FHLB	2 yr 2.15% callable qtrly starting 2/14/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.1500%	10,750.00	2/14/2020
1,000,000.00	3133EFZT7	FFCB	4yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%	14,000.00	2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%	14,900.00	3/30/2020
1,000,000.00	3130AEQH6	FHLB	2 yr 2.7% callable qtrly starting 2/28/19	Vining Sparks	General/001	8/28/2018	\$ 1,000,000.00	2.7000%		8/28/2020
1,000,000.00	3134GSDE2	FHLMC	3 yr 2.4% callable qtrly starting 2/28/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.4000%	12,000.00	2/28/2021
1,000,000.00	3130AEPYO	FHLB	3 yr 2.85% callable qtrly starting 2/27/19	Vining Sparks	General/001	8/27/2018	\$ 1,000,000.00	2.8500%		8/27/2021
1,000,000.00	3130AEWP1	FHLB	3 yr 2.875% callable qtrly starting 3/27/19	Vining Sparks	General/001	9/27/2018	\$ 1,000,000.00	2.8750%	-	9/27/2021
1,000,000.00	3134GAC28	FHLMC	5 yr 2.2% callable qtrly starting 12/29/17	Raymond James	General/001	12/29/2018	\$ 1,000,000.00	2.2000%	22,000.00	12/29/2021
1,000,000.00	3134GSRW71	FHLMC	4 yr 3.0% callable 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.0000%	-	7/26/2022
1,000,000.00	3133EJZW2	FFCB	4yr 3.7% callable 9/19/19 and anytime thereafter	Vining Sparks	General/001	9/19/2018	\$ 1,000,000.00	3.1700%	-	9/19/2022
1,000,000.00	3134GSRV9	FHLMC	5 yr 3.25% callable qtrly starting 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.2500%	-	7/26/2023
1,000,000.00	3134GSXB6	FHLMC	5 yr 3.32% callable qtrly starting 3/28/19	Raymond James	General/001	9/28/2018	\$ 1,000,000.00	3.3200%	-	9/28/2023
	SUB-TOTAL						\$ 15,414,025.00		\$ 94,400.00	

CALLED AND/OR CLOSED INVESTMENTS										
1,000,000.00	3130A6Y43	FHLB	5 year 2.0% callable qtrly starting 12/30/16	Vining Sparks	General/001	12/30/2015	\$ 1,000,000.00	2.0000%	5,000.00	10/6/17 CALLED
500,000.00	3130A4B27	FHLB	2 yr 8 mo 1.00% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.000105%	2,500.00	10/27/2017 MATURED
200,000.00	69506YDR7	PACIFIC WESTERN	1 yr CD .80% matures 11/16/17	Raymond James	CC Records/057	11/16/2016	\$ 200,000.00	0.8000%	1,600.00	11/16/2017 MATURED
200,000.00	538038CV4	LIVOAK	1 yr CD 1.25% matures 5/31/18	Raymond James	CC Records/057	5/31/2017	\$ 200,000.00	1.2500%	1,863.02	5/31/2018 MATURED
500,000.00	3130A4DP4	FHLB	3 yr 6 mo 1.3% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.3000%	8,033.33	8/27/2018 MATURED
			TOTAL INTEREST						\$ 16,996.35	

TOTAL INVESTMENTS & INTEREST EARNINGS:

\$ 35,068,601.25 **\$ 645,732.18**

NOTES:

- Earnings received reflects actual interest & dividends for Fiscal Year 2018 to date.
- The investment portfolio is in compliance with the PFIA and with the County's Investment Policy.

David E. Peek

David E. Peek, Rockwall County Treasurer