

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2018, Month of November

FISCAL YEAR	FUND NO	ACCOUNT NAME	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2019	1	GENERAL FUND	16,681,686.66	5,309,982.43	-6,397,746.01	15,593,923.08
2019	5	JURY FUND	6	8,212.00	-8,218.00	0
2019	15	JPD LOCAL FUND	350,163.36	917.78	-48,612.10	302,469.04
2019	16	IV-E JUVENILE ADMINISTRATION	103,792.72	0	0	103,792.72
2019	17	JUVENILE PROBATION FEES	29,938.08	0	0	29,938.08
2019	20	ROAD & BRIDGE FUND	1,455,351.61	110,913.15	-207,263.11	1,359,001.65
2019	22	TRUANCY COURT	50	0	0	50
2019	23	VETERANS COURT	29,791.16	6	0	29,797.16
2019	24	E.A.S.E. 2.0 GRANT	0	0	0	0
2019	25	EMERGENCY MANAGEMENT FUND	28,333.72	0	-14,395.04	13,938.68
2019	26	COURTHOUSE RENOVATION FUND	194,692.14	0	0	194,692.14
2019	27	S.C.A.A.P GRANT	71.45	0	0	71.45
2019	28	--CC VITAL STATISTICS--	17,089.25	0	-600	16,489.25
2019	29	--CC ARCHIVAL FEE--	553,563.09	0	0	553,563.09
2019	30	FIRE CODE ENFORCEMENT FUND	93,171.58	0	0	93,171.58
2019	31	JUV.DELINQ.PREVENTION FUND	50	0	0	50
2019	33	COURT RECORD PRESERVATION FUN	59,375.64	0	0	59,375.64
2019	34	DIST COURT RECORDS TECHNOLOGY	53,544.20	0	0	53,544.20
2019	35	LAW LIBRARY FUND	121,247.50	0	-3,501.28	117,746.22
2019	36	COUNTY/DIST COURT TECHNOLOGY	9,917.94	0	0	9,917.94
2019	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	0	0	3,810.44
2019	39	JUSTICE COURT SECURITY	28,455.38	4,000.00	-4,288.00	28,167.38
2019	40	D.A. STATE FUND	18,071.70	0	-1,040.07	17,031.63
2019	41	CLICK IT OR TICKET GRANT	0	0	0	0
2019	42	D.A. FORFEITURE FUND	155,923.30	0	-160	155,763.30
2019	43	CITIES READINESS INITIATIVE	-2,394.37	1,065.93	-2,326.64	-3,655.08
2019	45	TEXAS STATE LIBRARY GRANTS	0	0	0	0
2019	47	EMERGENCY MANAGEMENT FED GRAN	-610.24	0	0	-610.24
2019	49	SHERIFF'S ABANDONED FUND	18,654.62	0	0	18,654.62
2019	50	ERRORS & OMISSION INSURANCE	113,244.53	0	0	113,244.53
2019	51	PUBLIC SAFETY SALES TAX FUND	591,286.40	37,642.45	-64,500.00	564,428.85
2019	52	CHILD ABUSE PREVENTION FUND	43.41	0	-43.41	0
2019	53	DIST CLERK RECORDS MANAGEMENT	16,952.69	0	-1,119.84	15,832.85
2019	54	COURT REPORTER SERVICE FUND	238,184.91	0	-2,290.00	235,894.91
2019	55	APPELLATE JUSTICE SYSTEM FUND	33,981.28	0	-16,379.99	17,601.29
2019	56	JUSTICE COURT TECHNOLOGY FUND	139,729.25	10,000.00	-15,912.77	133,816.48
2019	57	CC RECORDS MANAGEMENT & PRES.	1,171,777.34	0	-7,398.66	1,164,378.68
2019	58	RECORDS MANAGEMENT & PRES.	100,711.38	0	-4,789.05	95,922.33
2019	59	COURTHOUSE SECURITY FUND	58,991.66	0	-146.08	58,845.58
2019	60	DEBT SERVICE FUND	1,763,142.77	1,006,161.39	-593,852.16	2,175,452.00
2019	70	LIBRARY CONSTRUCTION-2007 BON	11.72	0	0	11.72
2019	76	LIBRARY DONATION FUND	51,144.10	0	-475	50,669.10
2019	80	CAPITAL PROJECT-COUNTY SVS.	3.45	0	0	3.45
2019	81	ROAD IMPROVEMENTS BOND 2004	8,551,363.81	90,000.00	-198,404.76	8,442,959.05
2019	83	--VETERANS MEMORIAL FUND--	7.56	0	0	7.56
2019	84	INTEGRATED JUDICIAL SOFTWARE	506.07	0	0	506.07
2019	88	JUVENILE PROBATION DEPARTMENT	22,166.80	0	0	22,166.80
2019	90	INDIGENT HEALTH CARE FUND	94,778.95	0	-23,833.90	70,945.05
2019	92	BAIL BOND BOARD FUND	1,204,565.14	0	0	1,204,565.14
2019	94	ADULT PROBATION DEPARTMENT	487,946.95	296,242.77	-319,093.56	465,096.16
2019	170	JUVENILE PROBATION GRANTS	-46,634.22	155,382.00	-40,727.54	68,020.24
2019	185	COUNTY INSURANCE TRUST FUND	494,882.15	1,063,778.34	-1,029,055.55	529,604.94
2019	201	RADIO INTEROPERABILITY FUND	833,442.52	51,488.87	-7,146.42	877,784.97
2019	202	FACILITIES IMPROVEMENT	305,661.64	0	-15,003.70	290,657.94
2019	490	ELECTION SERVICES FUND	86,398.35	0	0	86,398.35
2019	495	CHAPTER 19 FUND	-5,475.01	0	-2,623.97	-8,098.98
SUB-TOTAL			36,312,562.53	8,145,793.11	(9,030,946.61)	35,427,409.03

Fiscal Year 2018, Month of November

<u>FISCAL YEAR</u>	<u>FUND NO</u>	<u>ACCOUNT NAME</u>	<u>BEGINNING CASH BALANCE</u>	<u>CASH RECEIPTS</u>	<u>CASH DISBURSEMENTS</u>	<u>ENDING CASH BALANCE</u>
2019	801	COUNTY CLERK BONDS-8280	23,394.83	0	0	23,394.83
2019	802	COUNTY CLERK BONDS-3716	599,202.31	0	0	599,202.31
2019	810	DISTRICT CLERK REGISTRY	1,763,518.52	0	0	1,763,518.52
2019	811	DISTRICT CLERK ESCROW	292,912.86	0	0	292,912.86
2019	812	DISTRICT CLERK GENERAL	3,569.44	0	0	3,569.44
2019	821	DISTRICT ATTORNEY ESCROW	48,682.56	0	0	48,682.56
2019	822	DISTRICT ATTORNEY MERCHANT	2,303.80	0	0	2,303.80
2019	823	DISTRICT ATTORNEY SEIZURE	127,918.45	0	0	127,918.45
2019	824	DISTRICT ATTORNEY FEE	24,559.31	0	0	24,559.31
2019	831	TAX ASSESSOR - SALES TAX	-1,584.40	0	0	-1,584.40
2019	832	TAX ASSESSOR - VIT ESCROW	893,510.56	93,167.35	0	986,677.91
2019	833	TAX ASSESSOR - AUTO REGISTRAT	413,262.02	0	0	413,262.02
2019	834	TAX ASSESSOR - PROPERTY TAX	58,181.88	0	0	58,181.88
2019	835	TAX ASSESSOR - TAX ESCROW	0	0	0	0
2019	836	TAX ASSESSOR-BOAT REGISTRATIO	37,977.58	0	0	37,977.58
2019	841	SHERIFF PUBLIC SUPPORT	4,062.82	0	0	4,062.82
2019	842	SHERIFF LAW ENFORCEMENT	275,819.66	0	0	275,819.66
2019	843	SHERIFF CASH ESCROW	65,406.06	0	0	65,406.06
2019	844	SHERIFF OPERATING	1,132.56	0	0	1,132.56
2019	845	SHERIFF INMATE TRUST	80,277.75	0	0	80,277.75
2019	846	SHERIFF LEOSE	8,718.19	0	0	8,718.19
2019	847	SHERIFF PENDING FORFEITURE	0	0	0	0
2019	848	SHERIFF LAW ENFORCEMENT	107,172.38	0	0	107,172.38
2019	849	COUNTY SHERIFF ERAD CARDS	0	0	0	0
2019	851	JAIL INMATE TRUST	83,553.92	0	0	83,553.92
2019	861	LIBRARY OPERATING	92,773.82	0	0	92,773.82
2019	862	LIBRARY READING FOR ADULTS	44,168.42	0	0	44,168.42
2019	871	JUVENILE PROBATION RESTITUTIO	2,214.99	0	0	2,214.99
2019	881	ADULT PROBATION OPERATING	147,023.63	0	0	147,023.63
SUB-TOTAL			5,199,733.92	93,167.35	0.00	5,292,901.27
GRAND TOTALS			41,512,296.45	8,238,960.46	(9,030,946.61)	40,720,310.30

County Treasurer's Monthly Report - Debts

For the Period Ending 11/30/2018

Debts Due to the County (see note 2)

Accounts Receivable	45,981,529.33
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Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	7,236,768.50
New Courthouse Series 2009 LTRBs	26,649,878.21
Road Improvements Series 2009 UTRBs	357,000.00
Refunding Series 2010, LTRBs	5,111,771.25
Road Improvements Series 2010 UTRBs	2,582,592.50
Road Improvements Series 2012 UTRBs	17,034,341.25
Road Improvements Series 2012A UTRBs	23,698,631.25
Road Improvements Series 2013 UTRBs	17,608,628.23
Road Improvements Series 2016 UTRBs	18,829,600.00
Refunding Series 2017, UTRBs	9,850,300.00

Subtotal Long Term Debt	128,959,511.19
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Total Debts Due by the County (see notes 3 and 4)	128,959,511.19
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Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 11/30/18

Quantitative Analysis of Activities

<u>Activity Description</u>	<u>Where Applicable</u>		<u>Count</u>
	Prior Mo. Ending No.	Current Mo. Ending No.	
Receipts Processed - R#s	2297	2596	299
Journal Entries Made - CT#s	19060	19059	19120
County checks written & dispositioned	346129	346712	583
Juror checks written & dispositioned	33453	33851	398
Adult Probation checks written & dispositioned	21141	21158	17
Employee Payroll Changes Processed (Incl. Elections)			150
Payroll Hard Copy Checks			114
Payroll Direct Deposit Stubs Processed	607244	607878	634
Payrolls + Direct Dep ACHs Processed			21
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			41
Wire Transfers/EFTs Made - WT#s			16
Retiree Related Transactions			78
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			0
Year-End W2's processed & 1095C's			

Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2019 - Investment Report¹
November 1, 2018 thru November 30, 2018

			Description	BROKER	FUND		BOOK VALUE	CURRENT % RATE	FY19 to Date EARNINGS RECEIVED ¹	
MANAGED POOL /MONEY MARKET ACCOUNTS										
			TEXAS CLASS	TEXAS CLASS	General/001		\$ 304,693.02	2.3400%	6,947.00	
			TEXPOOL	TEXPOOL	General/001		\$ 157,242.33	2.1438%	1,024.04	
			TEXSTAR	TEXSTAR	General/001		\$ 163,150.97	2.1615%	595.27	
			TEXPOOL	TEXPOOL	Road & Bridge/020		\$ 1,373,423.05	2.1438%	5,031.94	
			TEXPOOL	TEXPOOL	Radio/201		\$ 24,618.81	2.1438%	89.16	
			TEXAS CLASS	TEXAS CLASS	Debt Service/080		\$ 968,574.15	2.3400%	3,848.93	
			LOGIC	LOGIC	Road Bonds/081		\$ 8,483,617.58	2.3320%	33,807.87	
			TEXAS CLASS	TEXAS CLASS	Multiple		\$ 676,805.65	2.3400%	3,323.84	
			TEXPOOL	TEXPOOL	Multiple		\$ 1,442,579.31	2.1438%	5,265.10	
			TEXSTAR	TEXSTAR	Multiple		\$ 53.47	2.1615%	0.09	
			Northern Trust Municipal	ANB Safekeeping	Multiple		\$ 7,552.76		102.76	
					SUB-TOTAL		\$ 13,602,311.10		\$ 60,036.00	
Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY19 EARNINGS	MATURITY DATE
200,000.00	38148PTV9	GOLD SACHS	1 yr CD 1.6% matures 12/6/18	Raymond James	CC Records/057	12/6/2017	\$ 200,000.00	1.6000%		12/6/2018
200,000.00	12316LDN4	BUSEY BANK	1 yr CD 2.3% matures 7/23/19	Raymond James	C C Records/057	7/23/2018	\$ 200,000.00	2.3000%		7/23/2019
500,000.00	3133EG7F8	FFCB	4 yr 1.75% Bullet	Raymond James	General/001	2/16/2017	\$ 500,000.00	1.7500%		2/16/2021
501,025.00	3133EJVV8	FFCB	3 yr 2.8% Bullet	Raymond James	General/001	8/14/2018	\$ 501,025.00	2.8000%		8/2/2021
503,800.00	313363WD9	FHLB	3 yr 3.125% Bullet	Raymond James	General/001	9/17/2018	\$ 503,800.00	3.1250%		9/9/2022
509,200.00	313383YJ4	FHLB	5 yr 3.75% Bullet	Raymond James	General/001	9/17/2018	\$ 509,200.00	3.7500%		9/8/2023
1,000,000.00	3138G2ZF7	FNMA	3 yr 1.2% Callable 8/28/16; thereafter qtrly	Raymond James	General/001	2/28/2016	\$ 1,000,000.00	1.2000%		2/26/2019
1,000,000.00	3130ADMS8	FHLB	2 yr 2.15% callable qtrly starting 2/14/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.1500%		2/14/2020
1,000,000.00	3133EFZT7	FFCB	4yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%		2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%	7,450.00	3/30/2020
1,000,000.00	3130AEQH8	FHLB	2 yr 2.7% callable qtrly starting 2/28/19	Vining Sparks	General/001	8/28/2018	\$ 1,000,000.00	2.7000%		8/28/2020
1,000,000.00	3134GSDE2	FHLMC	3 yr 2.4% callable qtrly starting 2/26/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.4000%		2/26/2021
1,000,000.00	3130AEPYO	FHLB	3 yr 2.85% callable qtrly starting 2/27/19	Vining Sparks	General/001	8/27/2018	\$ 1,000,000.00	2.8500%		5/27/2021
1,000,000.00	3130AERP1	FHLB	3 yr 2.875% callable qtrly starting 3/27/19	Vining Sparks	General/001	9/27/2018	\$ 1,000,000.00	2.8750%		9/27/2021
1,000,000.00	3134GAC28	FHLMC	5 yr 2.2% callable qtrly starting 12/29/17	Raymond James	General/001	12/29/2016	\$ 1,000,000.00	2.2000%		12/29/2021
1,000,000.00	3134GSRW71	FHLMC	4 yr 3.0% callable 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.0000%		7/26/2022
1,000,000.00	3133EJZW2	FFCB	4yr 3.7% callable 9/19/19 and anytime thereafter	Vining Sparks	General/001	9/19/2018	\$ 1,000,000.00	3.1700%		9/19/2022
1,000,000.00	3134GSRV9	FHLMC	5 yr 3.25% callable qtrly starting 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.2500%		7/26/2023
1,000,000.00	3134GSXB6	FHLMC	5 yr 3.32% callable qtrly starting 3/28/19	Raymond James	General/001	9/28/2018	\$ 1,000,000.00	3.3200%		9/28/2023
					SUB-TOTAL		\$ 15,414,025.00		\$ 7,450.00	
CALLED AND/OR CLOSED INVESTMENTS										
			TOTAL INTEREST						\$ -	
TOTAL INVESTMENTS & INTEREST EARNINGS:							\$ 29,016,336.10		\$ 67,486.00	
			NOTES:							
				1. Earnings received reflects actual interest & dividends for Fiscal Year 2018 to date.						
				2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy.						
<p align="center"></p> <p>David E. Peek, Rockwall County Treasurer</p>										