

**County Treasurer's Report of Receipts, Disbursements and Balances by Fund**

Fiscal Year 2019, Month of September

FISCAL YEAR	FUND NO	ACCOUNT NAME	BEGINNING	CASH	CASH	ENDING
			CASH BALANCE	RECEIPTS	DISBURSEMENTS	CASH BALANCE
2019	1	GENERAL FUND	23,121,766.04	5,741,873.54	(7,410,035.46)	21,453,604.12
2019	5	JURY FUND	-	11,074.00	(11,074.00)	-
2019	15	JPD LOCAL FUND	361,793.02	609.55	(45,846.58)	316,555.99
2019	16	IV-E JUVENILE ADMINISTRATION	103,417.90	-	-	103,417.90
2019	17	JUVENILE PROBATION FEES	32,286.41	345.00	(30.00)	32,601.41
2019	20	ROAD & BRIDGE FUND	1,313,139.45	243,813.25	(131,191.13)	1,425,761.57
2019	22	TRUANCY COURT	50.00	-	-	50.00
2019	23	VETERANS COURT	35,234.46	-	-	35,234.46
2019	24	E.A.S.E. 2.0 GRANT	-	-	-	-
2019	25	EMERGENCY MANAGEMENT FUND	72,576.42	-	(12,394.70)	60,181.72
2019	26	COURTHOUSE RENOVATION FUND	238,793.52	-	-	238,793.52
2019	27	S.C.A.A.P GRANT	25,396.30	-	(4,312.06)	21,084.24
2019	28	--CC VITAL STATISTICS--	14,171.85	-	(1,220.00)	12,951.85
2019	29	--CC ARCHIVAL FEE--	580,311.04	-	-	580,311.04
2019	30	FIRE CODE ENFORCEMENT FUND	76,816.76	14,878.88	(3,000.00)	88,695.64
2019	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
2019	33	COURT RECORD PRESERVATION FUN	75,766.55	-	-	75,766.55
2019	34	DIST COURT RECORDS TECHNOLOGY	68,600.11	-	-	68,600.11
2019	35	LAW LIBRARY FUND	138,910.52	-	(3,816.35)	135,094.17
2019	36	COUNTY/DIST COURT TECHNOLOGY	9,630.25	-	-	9,630.25
2019	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
2019	39	JUSTICE COURT SECURITY	26,097.52	-	-	26,097.52
2020	40	D.A. STATE FUND	4,880.83	-	(1,433.54)	3,447.29
2019	41	CLICK IT OR TICKET GRANT	-	-	-	-
2019	42	D.A. FORFEITURE FUND	159,497.83	-	-	159,497.83
2019	43	CITIES READINESS INITIATIVE	(8,088.52)	6,393.05	(47.97)	(1,743.44)
2020	45	TEXAS STATE LIBRARY GRANTS	-	-	-	-
2019	47	EMERGENCY MANAGEMENT FED GRAN	(1,356.33)	1,849.44	(197.30)	295.81
2019	49	SHERIFF'S ABANDONED FUND	19,680.72	388.85	-	20,069.57
2019	50	ERRORS & OMISSION INSURANCE	71,093.54	-	-	71,093.54
2019	51	PUBLIC SAFETY SALES TAX FUND	630,549.02	35,657.48	-	666,206.50
2019	52	CHILD ABUSE PREVENTION FUND	115.99	-	-	115.99
2019	53	DIST CLERK RECORDS MANAGEMENT	11,614.24	-	(1,127.33)	10,486.91
2019	54	COURT REPORTER SERVICE FUND	237,108.36	-	(4,193.08)	232,915.28
2019	55	APPELLATE JUSTICE SYSTEM FUND	30,590.38	-	-	30,590.38
2019	56	JUSTICE COURT TECHNOLOGY FUND	133,202.37	-	(788.95)	132,413.42
2019	57	CC RECORDS MANAGEMENT & PRES.	1,305,932.95	200,000.00	(205,863.10)	1,300,069.85
2019	58	RECORDS MANAGEMENT & PRES.	121,432.11	-	(156.75)	121,275.36
2019	59	COURTHOUSE SECURITY FUND	93,305.15	-	(1,630.60)	91,674.55
2019	60	DEBT SERVICE FUND	2,157,796.64	12,616.43	(0.02)	2,170,413.05
2019	70	LIBRARY CONSTRUCTION-2007 BON	-	-	-	-
2019	73	LIBRARY RENNOVATION	-	-	-	-
2019	76	LIBRARY DONATION FUND	60,644.10	-	-	60,644.10
2019	80	CAPITAL PROJECT-COUNTY SVS.	-	-	-	-
2019	81	ROAD IMPROVEMENTS BOND 2004	8,034,783.80	1,900,000.00	(3,718,428.90)	6,216,354.90
2019	83	--VETERANS MEMORIAL FUND--	-	-	-	-
2019	84	INTEGRATED JUDICIAL SOFTWARE	-	-	-	-
2020	85	JPD TEXAS PARKS & WILDLIFE GR	-	-	-	-
2020	88	JUVENILE PROBATION DEPARTMENT	22,166.80	-	-	22,166.80
2019	90	INDIGENT HEALTH CARE FUND	36,918.56	50,000.00	(35,085.59)	51,832.97
2019	92	BAIL BOND BOARD FUND	1,256,388.44	-	-	1,256,388.44
2020	94	ADULT PROBATION DEPARTMENT	606,234.32	442,480.48	(345,706.46)	703,008.34
2020	170	JUVENILE PROBATION GRANTS	22,669.56	-	(43,663.08)	(20,993.52)
2019	185	COUNTY INSURANCE TRUST FUND	231,137.83	1,611,235.65	(1,418,281.05)	424,092.43
2019	201	RADIO INTEROPERABILITY FUND	315,684.38	23,712.40	(345,874.00)	(6,477.22)
2019	202	FACILITIES IMPROVEMENT	260,844.21	-	-	260,844.21
2019	203	-COUNTY JL EXPANSION/REMODEL-	-	-	(1,049,418.54)	(1,049,418.54)
2019	490	ELECTION SERVICES FUND	86,047.75	2,793.63	(593.94)	88,247.44
2019	495	CHAPTER 19 FUND	(4,106.63)	2,793.63	(2,793.63)	(4,106.63)
<b>SUB-TOTAL</b>			<b>42,195,386.96</b>	<b>10,302,515.26</b>	<b>(14,798,204.11)</b>	<b>37,699,698.11</b>

2019	801 COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
2019	802 COUNTY CLERK BONDS-3716	428,647.60	-	-	428,647.60
2019	810 DISTRICT CLERK REGISTRY	530,929.17	-	-	530,929.17
2019	811 DISTRICT CLERK ESCROW	289,931.43	-	-	289,931.43
2019	812 DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
2019	821 DISTRICT ATTORNEY ESCROW	51,257.26	-	-	51,257.26
2019	822 DISTRICT ATTORNEY MERCHANT	2,303.80	-	-	2,303.80
2019	823 DISTRICT ATTORNEY SEIZURE	124,660.45	-	-	124,660.45
2019	824 DISTRICT ATTORNEY FEE	22,255.54	-	-	22,255.54
2019	831 TAX ASSESSOR - SALES TAX	(1,544.40)	-	-	(1,544.40)
2019	832 TAX ASSESSOR - VIT ESCROW	623,837.78	-	-	623,837.78
2019	833 TAX ASSESSOR - AUTO REGISTRAT	267,108.67	-	-	267,108.67
2019	834 TAX ASSESSOR - PROPERTY TAX	68,491.88	-	-	68,491.88
2019	835 TAX ASSESSOR - TAX ESCROW	-	-	-	-
2019	836 TAX ASSESSOR-BOAT REGISTRATIO	56,617.06	-	-	56,617.06
2019	841 SHERIFF PUBLIC SUPPORT	3,212.68	-	-	3,212.68
2019	842 SHERIFF LAW ENFORCEMENT	212,232.60	-	-	212,232.60
2019	843 SHERIFF CASH ESCROW	122,106.06	-	-	122,106.06
2019	844 SHERIFF OPERATING	1,116.06	-	-	1,116.06
2019	845 SHERIFF INMATE TRUST	75,929.32	-	-	75,929.32
2019	846 SHERIFF LEOSE	7,281.65	-	-	7,281.65
2019	847 SHERIFF PENDING FORFEITURE	-	-	-	-
2019	848 SHERIFF LAW ENFORCEMENT	96,393.86	-	-	96,393.86
2019	849 COUNTY SHERIFF ERAD CARDS	-	-	-	-
2019	851 JAIL INMATE TRUST	76,657.64	-	-	76,657.64
2019	861 LIBRARY OPERATING	72,063.67	-	-	72,063.67
2019	862 LIBRARY READING FOR ADULTS	45,212.61	-	-	45,212.61
2019	871 JUVENILE PROBATION RESTITUTIO	712.91	-	-	712.91
2019	881 ADULT PROBATION OPERATING	143,825.55	-	-	143,825.55
<b>SUB-TOTAL</b>		<b>3,348,205.12</b>	<b>0.00</b>	<b>0.00</b>	<b>3,348,205.12</b>
<b>GRAND TOTALS</b>		<b>45,543,592.08</b>	<b>10,302,515.26</b>	<b>(14,798,204.11)</b>	<b>41,047,903.23</b>

# County Treasurer's Monthly Report - Debts

For the Period Ending 9/30/2019

## Debts Due to the County (see note 2)

Accounts Receivable	46,115,770.79
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## Debts due by the County (see notes 3 & 4)

### Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	6,435,490.25
Refunding Series 2010, LTRBs	4,570,671.25
Road Improvements Series 2010 UTRBs	2,421,553.75
Road Improvements Series 2012 UTRBs	15,815,223.75
Road Improvements Series 2012A UTRBs	21,629,193.75
Road Improvements Series 2013 UTRBs	16,433,446.97
Road Improvements Series 2016 UTRBs	17,464,225.00
Refunding Series 2017, UTRBs	9,570,150.00
Refunding Series 2018, LTRBs	22,572,375.00

Subtotal Long Term Debt	116,912,329.72
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Total Debts Due by the County (see notes 3 and 4)	116,912,329.72
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## Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

# County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 09/30/2019

## Quantitative Analysis of Activities

<u>Activity Description</u>	<b>Where Applicable</b>		<u>Count</u>
	<b>Prior Mo. Ending No.</b>	<b>Current Mo. Ending No.</b>	
Receipts Processed	5557	5889	332
Journal Entries Made	19620	19682	62
County checks written & dispositioned	350967	351424	457
Juror checks written & dispositioned	36885	37277	392
Adult Probation checks written & dispositioned	21349	21385	36
Employee Payroll Changes Processed (Incl. Elections)			161
Payroll Hard Copy Checks			33
Payroll Direct Deposit Stubs Processed	614496	615145	649
Payrolls + Direct Dep ACHs Processed			21
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			36
Wire Transfers/EFTs Made - WT#s			16
Retiree Related Transactions			78
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			1
Year-End W2's processed & 1095C's			0

## Notes & Commentary

- 1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

**County of Rockwall, Texas**  
**Fiscal Year 2019 - Investment Report<sup>1</sup>**  
**September 1, 2019 thru September 31, 2019**

			Description	BROKER	FUND		BOOK VALUE	CURRENT % RATE	FY19 to Date EARNINGS RECEIVED <sup>1</sup>
<b>MANAGED POOL /MONEY MARKET ACCOUNTS</b>									
			LOGIC	LOGIC	Road Bonds/081		\$ 6,264,235.62	2.2461%	204,425.91
			TEXAS CLASS	TEXAS CLASS	General/001		\$ 4,961,382.42	2.2000%	123,636.40
			TEXAS CLASS	TEXAS CLASS	Debt Service/060		\$ 2,075,094.90	2.2000%	63,674.69
			TEXAS CLASS	TEXAS CLASS	APD		\$ 336,367.86	2.2000%	8,215.36
			TEXAS CLASS	TEXAS CLASS	JPD/015		\$ 118,928.99	2.2000%	2,904.77
			TEXAS CLASS	TEXAS CLASS	Ins/185		\$ 250.93	2.2000%	1,124.36
			TEXAS CLASS	TEXAS CLASS	Multiple		\$ -	2.2000%	2,829.46
			TEXPOOL	TEXPOOL	General/001		\$ 2,555,859.59	2.1635%	58,277.46
			TEXPOOL	TEXPOOL	Road & Bridge/020		\$ 1,329,023.32	2.1635%	30,632.21
			TEXPOOL	TEXPOOL	Records Mng/057		\$ 265,452.02	2.1635%	6,066.47
			TEXPOOL	TEXPOOL	Radio/201		\$ 25,103.13	2.1635%	573.48
			TEXPOOL	TEXPOOL	Multiple		\$ -	2.1635%	14,913.97
			TEXPOOL PRIME	TEXPOOL PRIME	General/001		\$ 500,431.89	2.2749%	431.89
			TEXSTAR	TEXSTAR	General/001		\$ 2,717,345.63	2.1065%	54,787.93
			TEXSTAR	TEXSTAR	Multiple		\$ -	2.1065%	0.31
			Northern Trust Municipal	ANB Safekeeping	Multiple		\$ 94,107.85	2.1600%	1,197.15
							<b>SUB-TOTAL</b>		<b>\$ 21,243,583.95</b>
									<b>\$ 573,691.82</b>

Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY19 EARNINGS	MATURITY DATE
200,000.00	78658Q4Z3	SAFRA NAT'L BANK	1 yr CD 2.75%	Raymond James	CC Records/057	12/27/2018	\$ 200,000.00	2.7500%	-	12/26/2019
200,000.00	06051VL95	BANK of AMERICA	1 yr CD 1.8%	Raymond James	CC Records/057	9/25/2019	\$ 200,000.00	1.8000%	-	9/24/2020
500,000.00	3133EG7F6	FFCB	4 yr 1.75% Bullet	Raymond James	General/001	2/16/2017	\$ 500,000.00	1.7500%	8,750.00	2/16/2021
501,025.00	3133EJVV8	FFCB	3 yr 2.8% Bullet	Raymond James	General/001	8/14/2018	\$ 501,025.00	2.8000%	14,000.00	8/2/2021
503,800.00	313383WD9	FHLB	3 yr 3.125% Bullet	Raymond James	General/001	9/17/2018	\$ 503,800.00	3.1250%	15,625.00	9/9/2022
509,200.00	313383YJ4	FHLB	5 yr 3.75% Bullet	Raymond James	General/001	9/17/2018	\$ 509,200.00	3.7500%	16,875.00	9/8/2023
1,000,000.00	3130ADMS8	FHLB	2 yr 2.15% callable qtrly starting 2/14/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.1500%	21,500.00	2/14/2020
1,000,000.00	3133EFZT7	FFCB	4 yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%	14,000.00	2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%	22,350.00	3/30/2020
1,000,000.00	3134GTKN2	FHLMC	2 yr 2.5% callable one time 11/14/19	MBS	General/001	5/14/2019	\$ 1,000,000.00	2.5000%	-	5/14/2021
1,000,000.00	3130AG2Z7	FHLB	3 yr 2.65% callable qtrly starting 9/25/19	Vining Sparks	General/001	3/25/2019	\$ 1,000,000.00	2.6500%	13,250.00	3/25/2022
1,001,750.00	3134GSM93	FHLMC	5 yr 3.32% callable qtrly starting 8/27/19	Raymond James	General/001	12/27/2018	\$ 1,001,750.00	3.3200%	16,600.00	12/27/2023
							<b>SUB-TOTAL</b>		<b>\$ 8,415,775.00</b>	<b>\$ 142,950.00</b>

<b>CALLED AND/OR CLOSED INVESTMENTS</b>										
200,000.00	38148PTV9	GOLD SACHS	1 yr CD 1.6% matures 12/6/18	Raymond James	CC Records/057	12/6/2017	\$ 200,000.00	1.6000%	3,200.00	12/6/2018
1,000,000.00	3136G2ZF7	FNMA	3 yr 1.2% Callable 8/26/16; thereafter qtrly	Raymond James	General/001	2/26/2016	\$ 1,000,000.00	1.2000%	6,000.00	2/26/2019
1,000,000.00	3130AEQH6	FHLB	2 yr 2.7% callable qtrly starting 2/28/19	Vining Sparks	General/001	8/28/2018	\$ 1,000,000.00	2.7000%	13,500.00	8/28/2020
1,000,000.00	3130AEPYO	FHLB	3 yr 2.85% callable qtrly starting 2/27/19	Vining Sparks	General/001	8/27/2018	\$ 1,000,000.00	2.8500%	14,250.00	6/27/2021
1,000,000.00	3134GSXB6	FHLMC	5 yr 3.32% callable qtrly starting 3/28/19	Raymond James	General/001	9/28/2018	\$ 1,000,000.00	3.3200%	16,600.00	9/28/2023
1,000,000.00	3134GSN50	FHLMC	4 yr 3.25% callable 6/28/19	Raymond James	General/001	12/28/2018	\$ 1,000,000.00	3.2500%	16,250.00	12/28/2022
1,000,000.00	3134GSRW71	FHLMC	4 yr 3.0% callable 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.0000%	29,666.67	7/26/2022
1,000,000.00	3134GSRV9	FHLMC	5 yr 3.25% callable qtrly starting 7/26/19	Raymond James	General/001	7/30/2018	\$ 1,000,000.00	3.2500%	32,138.89	7/26/2023
200,000.00	12316LDN4	BUSEY BANK	1 yr CD 2.3% matures 7/23/19	Raymond James	CC Records/057	7/23/2018	\$ 200,000.00	2.3000%	4,600.00	7/23/2019
1,000,000.00	3134GSDE2	FHLMC	3 yr 2.4% callable qtrly starting 2/26/19	Raymond James	General/001	2/9/2018	\$ 1,000,000.00	2.4000%	24,000.00	2/26/2021
1,000,000.00	3130AEWP1	FHLB	3 yr 2.875% callable qtrly starting 3/27/19	Vining Sparks	General/001	9/27/2018	\$ 1,000,000.00	2.8750%	28,750.00	9/27/2021
1,000,000.00	3134GAC28	FHLMC	5 yr 2.2% callable qtrly starting 12/29/17	Raymond James	General/001	12/29/2016	\$ 1,000,000.00	2.2000%	27,500.00	12/29/2021
1,000,000.00	3133EJZW2	FFCB	4 yr 3.7% callable 9/19/19 and anytime thereafter	Vining Sparks	General/001	9/19/2018	\$ 1,000,000.00	3.1700%	31,700.00	9/19/2022
			<b>TOTAL INTEREST</b>				<b>\$ 11,400,000.00</b>		<b>\$ 248,155.56</b>	
<b>TOTAL INVESTMENTS &amp; INTEREST EARNINGS:</b>							<b>\$ 29,659,368.95</b>		<b>\$ 964,797.38</b>	

*David E. Peek*  
**David E. Peek, Rockwall County Treasurer**

**NOTES:**  
1. Earnings received reflects actual interest & dividends for Fiscal Year 2019 to date.  
2. The investment portfolio is in compliance with the PPIA and with the County's Investment Policy.