

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2020, Month of December

| FISCAL YEAR | FUND NO | ACCOUNT NAME | BEGINNING | CASH | CASH | ENDING |
|------------------|---------|-------------------------------|----------------------|----------------------|------------------------|----------------------|
| | | | CASH BALANCE | RECEIPTS | DISBURSEMENTS | CASH BALANCE |
| 2020 | 1 | GENERAL FUND | 17,642,638.23 | 42,372,205.83 | (30,571,459.18) | 29,443,384.88 |
| 2020 | 5 | JURY FUND | - | 13,550.00 | (13,550.00) | - |
| 2020 | 15 | JPD LOCAL FUND | 359,227.76 | 413.93 | (41,591.29) | 318,050.40 |
| 2020 | 16 | IV-E JUVENILE ADMINISTRATION | 103,292.62 | - | (480.07) | 102,812.55 |
| 2020 | 17 | JUVENILE PROBATION FEES | 32,781.41 | 580.00 | - | 33,361.41 |
| 2020 | 20 | ROAD & BRIDGE FUND | 1,230,461.11 | 124,816.69 | (193,206.81) | 1,162,070.99 |
| 2020 | 22 | TRUANCY COURT | 50.00 | - | - | 50.00 |
| 2020 | 23 | VETERANS COURT | 37,579.36 | - | (175.00) | 37,404.36 |
| 2020 | 24 | E.A.S.E. 2.0 GRANT | - | - | - | - |
| 2020 | 25 | EMERGENCY MANAGEMENT FUND | 31,973.08 | 52,040.75 | (13,048.06) | 70,965.77 |
| 2020 | 26 | COURTHOUSE RENOVATION FUND | 253,198.32 | - | - | 253,198.32 |
| 2020 | 27 | S.C.A.A.P GRANT | 56,165.88 | - | (8,307.61) | 47,858.27 |
| 2020 | 28 | --CC VITAL STATISTICS-- | 14,962.85 | - | (1,463.28) | 13,499.57 |
| 2020 | 29 | --CC ARCHIVAL FEE-- | 650,461.04 | - | - | 650,461.04 |
| 2020 | 30 | FIRE CODE ENFORCEMENT FUND | 88,695.64 | - | - | 88,695.64 |
| 2020 | 31 | JUV.DELINQ.PREVENTION FUND | 50.00 | - | - | 50.00 |
| 2020 | 33 | COURT RECORD PRESERVATION FUN | 81,159.75 | - | - | 81,159.75 |
| 2020 | 34 | DIST COURT RECORDS TECHNOLOGY | 73,303.31 | - | (52,612.76) | 20,690.55 |
| 2020 | 35 | LAW LIBRARY FUND | 148,789.07 | - | (1,095.01) | 147,694.06 |
| 2020 | 36 | COUNTY/DIST COURT TECHNOLOGY | 10,405.59 | 9,130.00 | - | 19,535.59 |
| 2020 | 38 | TCEQ LOCAL INITIATIVE PROJECT | 3,810.44 | - | - | 3,810.44 |
| 2020 | 39 | JUSTICE COURT SECURITY | 26,097.52 | - | - | 26,097.52 |
| 2020 | 40 | D.A. STATE FUND | 16,140.43 | - | (715.67) | 15,424.76 |
| 2020 | 41 | CLICK IT OR TICKET GRANT | - | - | - | - |
| 2020 | 42 | D.A. FORFEITURE FUND | 159,962.83 | 10,977.10 | (272.81) | 170,667.12 |
| 2020 | 43 | CITIES READINESS INITIATIVE | (2,046.56) | - | (2,027.88) | (4,074.44) |
| 2020 | 45 | TEXAS STATE LIBRARY GRANTS | - | - | - | - |
| 2020 | 47 | EMERGENCY MANAGEMENT FED GRAN | 873.01 | - | - | 873.01 |
| 2020 | 49 | SHERIFF'S ABANDONED FUND | 20,494.87 | - | - | 20,494.87 |
| 2020 | 50 | ERRORS & OMISSION INSURANCE | 71,103.54 | - | - | 71,103.54 |
| 2020 | 51 | PUBLIC SAFETY SALES TAX FUND | 741,835.21 | 38,017.62 | - | 779,852.83 |
| 2020 | 52 | CHILD ABUSE PREVENTION FUND | 7.75 | - | (7.75) | - |
| 2020 | 53 | DIST CLERK RECORDS MANAGEMENT | 12,457.92 | - | - | 12,457.92 |
| 2020 | 54 | COURT REPORTER SERVICE FUND | 230,591.46 | - | (1,812.54) | 228,778.92 |
| 2020 | 55 | APPELLATE JUSTICE SYSTEM FUND | 18,620.38 | - | - | 18,620.38 |
| 2020 | 56 | JUSTICE COURT TECHNOLOGY FUND | 130,756.22 | 3,210.00 | (1,044.85) | 132,921.37 |
| 2020 | 57 | CC RECORDS MANAGEMENT & PRES. | 1,297,710.45 | 200,000.00 | (207,521.63) | 1,290,188.82 |
| 2020 | 58 | RECORDS MANAGEMENT & PRES. | 128,374.91 | 395.25 | (50,790.50) | 77,979.66 |
| 2020 | 59 | COURTHOUSE SECURITY FUND | 103,828.08 | - | (1,984.06) | 101,844.02 |
| 2020 | 60 | DEBT SERVICE FUND | 2,718,383.06 | 12,574,776.70 | (8,049,978.96) | 7,243,180.80 |
| 2020 | 70 | LIBRARY CONSTRUCTION-2007 BON | - | - | - | - |
| 2020 | 73 | LIBRARY RENNOVATION | - | - | - | - |
| 2020 | 76 | LIBRARY DONATION FUND | 60,644.10 | - | - | 60,644.10 |
| 2020 | 80 | CAPITAL PROJECT-COUNTY SVS. | - | - | - | - |
| 2020 | 81 | ROAD IMPROVEMENTS BOND 2004 | 6,172,043.03 | - | (28,758.49) | 6,143,284.54 |
| 2020 | 83 | --VETERANS MEMORIAL FUND-- | - | - | - | - |
| 2020 | 84 | INTEGRATED JUDICIAL SOFTWARE | - | - | - | - |
| 2020 | 85 | JPD TEXAS PARKS & WILDLIFE GR | - | - | - | - |
| 2020 | 88 | JUVENILE PROBATION DEPARTMENT | 22,166.80 | - | - | 22,166.80 |
| 2020 | 90 | INDIGENT HEALTH CARE FUND | 54,354.48 | - | (20,364.64) | 33,989.84 |
| 2020 | 92 | BAIL BOND BOARD FUND | 1,256,888.44 | 500.00 | - | 1,257,388.44 |
| 2020 | 94 | ADULT PROBATION DEPARTMENT | 592,241.95 | 466,991.29 | (388,607.34) | 670,625.90 |
| 2020 | 170 | JUVENILE PROBATION GRANTS | 63,090.63 | - | (59,282.25) | 3,808.38 |
| 2020 | 185 | COUNTY INSURANCE TRUST FUND | 807,975.70 | 1,121,694.25 | (1,442,288.37) | 487,381.58 |
| 2020 | 201 | RADIO INTEROPERABILITY FUND | 210,801.73 | - | - | 210,801.73 |
| 2020 | 202 | FACILITIES IMPROVEMENT | 260,844.21 | - | - | 260,844.21 |
| 2020 | 203 | -COUNTY JL EXPANSION/REMODEL- | (1,257,701.35) | 2.00 | (240,561.15) | (1,498,260.50) |
| 2020 | 490 | ELECTION SERVICES FUND | 82,724.41 | - | - | 82,724.41 |
| 2020 | 495 | CHAPTER 19 FUND | - | - | - | - |
| SUB-TOTAL | | | 34,820,270.67 | 56,989,301.41 | (41,393,007.96) | 50,416,564.12 |

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2020, Month of December

| <u>FISCAL</u> <u>YEAR</u> | <u>FUND</u> <u>NO</u> | <u>ACCOUNT NAME</u> | <u>BEGINNING</u> <u>CASH BALANCE</u> | <u>CASH</u> <u>RECEIPTS</u> | <u>CASH</u> <u>DISBURSEMENTS</u> | <u>ENDING</u> <u>CASH BALANCE</u> |
|------------------------------|--------------------------|-------------------------------|---|--------------------------------|-------------------------------------|--------------------------------------|
| 2020 | 801 | COUNTY CLERK BONDS-8280 | 23,394.83 | - | - | 23,394.83 |
| 2020 | 802 | COUNTY CLERK BONDS-3716 | 520,032.10 | - | - | 520,032.10 |
| 2020 | 810 | DISTRICT CLERK REGISTRY | 623,487.55 | - | - | 623,487.55 |
| 2020 | 811 | DISTRICT CLERK ESCROW | 289,831.43 | - | - | 289,831.43 |
| 2020 | 812 | DISTRICT CLERK GENERAL | 3,569.44 | - | - | 3,569.44 |
| 2020 | 821 | DISTRICT ATTORNEY ESCROW | 55,722.85 | - | - | 55,722.85 |
| 2020 | 822 | DISTRICT ATTORNEY MERCHANT | 2,303.80 | - | - | 2,303.80 |
| 2020 | 823 | DISTRICT ATTORNEY SEIZURE | 124,660.45 | - | - | 124,660.45 |
| 2020 | 824 | DISTRICT ATTORNEY FEE | 20,574.27 | - | - | 20,574.27 |
| 2020 | 831 | TAX ASSESSOR - SALES TAX | (930.20) | - | - | (930.20) |
| 2020 | 832 | TAX ASSESSOR - VIT ESCROW | 918,824.41 | 92,879.55 | - | 1,011,703.96 |
| 2020 | 833 | TAX ASSESSOR - AUTO REGISTRAT | 193,992.55 | - | - | 193,992.55 |
| 2020 | 834 | TAX ASSESSOR - PROPERTY TAX | 57,272.30 | - | - | 57,272.30 |
| 2020 | 835 | TAX ASSESSOR - TAX ESCROW | - | - | - | - |
| 2020 | 836 | TAX ASSESSOR-BOAT REGISTRATIO | 22,737.28 | - | - | 22,737.28 |
| 2020 | 837 | TAX ASSESSOR-TX ALCHL BEV COM | 3,077.07 | 4,290.00 | (3,100.00) | 4,267.07 |
| 2020 | 841 | SHERIFF PUBLIC SUPPORT | 4,562.36 | - | - | 4,562.36 |
| 2020 | 842 | SHERIFF LAW ENFORCEMENT | 191,409.00 | - | - | 191,409.00 |
| 2020 | 843 | SHERIFF CASH ESCROW | 95,158.06 | - | - | 95,158.06 |
| 2020 | 844 | SHERIFF OPERATING | 1,058.31 | - | - | 1,058.31 |
| 2020 | 845 | SHERIFF INMATE TRUST | 77,152.42 | - | - | 77,152.42 |
| 2020 | 846 | SHERIFF LEOSE | 7,231.65 | - | - | 7,231.65 |
| 2020 | 847 | SHERIFF PENDING FORFEITURE | - | - | - | - |
| 2020 | 848 | SHERIFF LAW ENFORCEMENT | 94,598.59 | - | - | 94,598.59 |
| 2020 | 849 | COUNTY SHERIFF ERAD CARDS | - | - | - | - |
| 2020 | 851 | JAIL INMATE TRUST | 87,955.91 | - | - | 87,955.91 |
| 2020 | 861 | LIBRARY OPERATING | 29,958.20 | - | - | 29,958.20 |
| 2020 | 862 | LIBRARY READING FOR ADULTS | 37,213.98 | - | - | 37,213.98 |
| 2020 | 871 | JUVENILE PROBATION RESTITUTIO | 1,258.70 | - | - | 1,258.70 |
| 2020 | 881 | ADULT PROBATION OPERATING | 138,763.27 | - | - | 138,763.27 |
| SUB-TOTAL | | | 3,624,870.58 | 97,169.55 | (3,100.00) | 3,718,940.13 |
| GRAND TOTALS | | | 38,445,141.25 | 57,086,470.96 | (41,396,107.96) | 54,135,504.25 |
| | | | 38,445,141.25 | 57,086,470.96 | (41,396,107.96) | 54,135,504.25 |

County Treasurer's Monthly Report - Debts

For the Period Ending 12/31/2019

Debts Due to the County (see note 2)

| | |
|---------------------|---------------|
| Accounts Receivable | 46,120,684.34 |
|---------------------|---------------|

Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

| | |
|--|---------------|
| County Library Series 2015 PIBs Refunded | 6,435,490.25 |
| Refunding Series 2010, LTRBs | 4,570,671.25 |
| Road Improvements Series 2010 UTRBs | 2,421,553.75 |
| Road Improvements Series 2012 UTRBs | 15,815,223.75 |
| Road Improvements Series 2012A UTRBs | 21,629,193.75 |
| Road Improvements Series 2013 UTRBs | 16,433,446.97 |
| Road Improvements Series 2016 UTRBs | 17,464,225.00 |
| Refunding Series 2017, UTRBs | 9,570,150.00 |
| Refunding Series 2018, LTRBs | 22,572,375.00 |

| | |
|-------------------------|----------------|
| Subtotal Long Term Debt | 116,912,329.72 |
|-------------------------|----------------|

| | |
|---|----------------|
| Total Debts Due by the County (see notes 3 and 4) | 116,912,329.72 |
|---|----------------|

Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 12/31/2019

Quantitative Analysis of Activities

| <u>Activity Description</u> | <u>Where Applicable</u> | | <u>Count</u> |
|--|-----------------------------|-------------------------------|--------------|
| | <u>Prior Mo. Ending No.</u> | <u>Current Mo. Ending No.</u> | |
| Receipts Processed | 6512 | 6876 | 364 |
| Journal Entries Made | 20125 | 20177 | 52 |
| County checks written & dispositioned | 352476 | 352877 | 401 |
| Juror checks written & dispositioned | 37929 | 38491 | 562 |
| Adult Probation checks written & dispositioned | 21432 | 21459 | 27 |
| Employee Payroll Changes Processed (Incl. Elections) | | | 176 |
| Payroll Hard Copy Checks | | | 32 |
| Payroll Direct Deposit Stubs Processed | 616466 | 617294 | 828 |
| Payrolls + Direct Dep ACHs Processed | | | 21 |
| Audit Letters Received | | | 2 |
| Audit Responses Issued Due to Audit Findings | | | 0 |
| Bank Reconciliations Performed | | | 39 |
| Wire Transfers/EFTs Made - WT#s | | | 16 |
| Retiree Related Transactions | | | 77 |
| EOM Reconciliation of Billing Invoices to Deductions Taken | | | 16 |
| TCDRS Retirement Report | | | 1 |
| Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941) | | | 1 |
| Year-End W2's processed & 1095C's | | | 0 |

Notes & Commentary

- 1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2019 - Investment Report¹
December 1, 2019 thru December 31, 2019

| | | | Description | BROKER | FUND | | BOOK VALUE | CURRENT % RATE | FY20 to Date EARNINGS RECEIVED ¹ |
|--|--|--|--------------------------|-----------------|-------------------|--|------------------|----------------|---|
| MANAGED POOL /MONEY MARKET ACCOUNTS | | | | | | | | | |
| | | | LOGIC | LOGIC | Road Bonds/081 | | \$ 6,294,388.97 | 1.8173% | 30,153.35 |
| | | | TEXAS CLASS | TEXAS CLASS | General/001 | | \$ 8,986,566.67 | 1.8800% | 25,184.25 |
| | | | TEXAS CLASS | TEXAS CLASS | Debt Service/060 | | \$ 5,021,928.09 | 1.8800% | 11,833.19 |
| | | | TEXAS CLASS | TEXAS CLASS | APD | | \$ 338,041.47 | 1.8800% | 1,673.61 |
| | | | TEXAS CLASS | TEXAS CLASS | JPD/015 | | \$ 270,144.59 | 1.8800% | 1,215.60 |
| | | | TEXAS CLASS | TEXAS CLASS | Ins/185 | | \$ 200,707.98 | 1.8800% | 457.05 |
| | | | TEXPOOL | TEXPOOL | General/001 | | \$ 5,389,520.31 | 1.6226% | 14,246.48 |
| | | | TEXPOOL | TEXPOOL | Road & Bridge/020 | | \$ 1,385,035.38 | 1.6226% | 6,012.06 |
| | | | TEXPOOL | TEXPOOL | Records Mng/057 | | \$ 868,802.43 | 1.6226% | 3,350.41 |
| | | | TEXPOOL | TEXPOOL | Radio/201 | | \$ 55,322.52 | 1.6226% | 219.39 |
| | | | TEXPOOL PRIME | TEXPOOL PRIME | General/001 | | \$ 502,862.10 | 1.8342% | 2,430.21 |
| | | | TEXSTAR | TEXSTAR | General/001 | | \$ 3,725,937.70 | 1.5643% | 8,592.07 |
| | | | Northern Trust Municipal | ANB Safekeeping | | | \$ 134,503.12 | 1.7100% | 435.54 |
| | | | | | | | SUB-TOTAL | | \$ 33,173,761.33 |
| | | | | | | | | | \$ 105,803.21 |

| Purchase \$\$ | CUSIP | Agency | Description | BROKER | FUND | PURCHASE DATE | BOOK VALUE | CURRENT % RATE | FY20 EARNINGS | MATURITY DATE |
|---------------|-----------|-----------------|--|---------------|----------------|---------------|------------------|----------------|------------------------|---------------|
| 200,000.00 | 06051VL95 | BANK of AMERICA | 1 yr CD 1.8% | Raymond James | CC Records/057 | 9/25/2019 | \$ 200,000.00 | 1.8000% | - | 9/24/2020 |
| 500,000.00 | 3133EG7F6 | FFCB | 4 yr 1.75% Bullet | Raymond James | General/001 | 2/16/2017 | \$ 500,000.00 | 1.7500% | | 2/16/2021 |
| 501,025.00 | 3133EJVV8 | FFCB | 3 yr 2.8% Bullet | Raymond James | General/001 | 8/14/2018 | \$ 500,000.00 | 2.8000% | | 8/2/2021 |
| 503,800.00 | 313383WD9 | FHLB | 3 yr 3.125% Bullet | Raymond James | General/001 | 9/17/2018 | \$ 500,000.00 | 3.1250% | | 9/9/2022 |
| 509,200.00 | 313383YJ4 | FHLB | 5 yr 3.75% Bullet | Raymond James | General/001 | 9/17/2018 | \$ 500,000.00 | 3.7500% | | 9/8/2023 |
| 1,000,000.00 | 3133EFZT7 | FFCB | 4yr 1.4% only time callable 2/24/17 | Raymond James | General/001 | 2/24/2016 | \$ 1,000,000.00 | 1.4000% | | 2/24/2020 |
| 1,000,000.00 | 3133EFV20 | FFCB | 4 yr 1.45% callable 3/30/17 and anytime thereafter | Vining Sparks | General/001 | 3/30/2016 | \$ 1,000,000.00 | 1.4900% | | 3/30/2020 |
| 1,000,000.00 | 3130AGZ27 | FHLB | 3 yr 2.65% callable qtrly starting 9/25/19 | Vining Sparks | General/001 | 3/25/2019 | \$ 1,000,000.00 | 2.6500% | | 3/25/2022 |
| | | | | | | | SUB-TOTAL | | \$ 5,200,000.00 | \$ - |

| CALLED AND/OR CLOSED INVESTMENTS | | | | | | | | | | |
|---|-----------|------------------|--|---------------|----------------|------------|------------------------|---------|---------------------|------------|
| 1,000,000.00 | 3130ADMS8 | FHLB | 2 yr 2.15% callable qtrly starting 2/14/19 | Raymond James | General/001 | 2/9/2018 | \$ 1,000,000.00 | 2.1500% | 5,375.00 | 11/14/2019 |
| 1,000,000.00 | 3134GTKN2 | FHLMC | 2 yr 2.5% callable one time 11/14/19 | MBS | General/001 | 5/14/2019 | \$ 1,000,000.00 | 2.5000% | 12,500.00 | 11/26/2019 |
| 200,000.00 | 78658Q4Z3 | SAFRA NAT'L BANK | 1 yr CD 2.75% | Raymond James | CC Records/057 | 12/27/2018 | \$ 200,000.00 | 2.7500% | 5,484.93 | 12/26/2019 |
| 1,001,750.00 | 3134GSM93 | FHLMC | 5 yr 3.32% callable qtrly starting 6/27/19 | Raymond James | General/001 | 12/27/2018 | \$ 1,000,000.00 | 3.3200% | 16,600.00 | 12/27/2019 |
| | | | | | | | | | | |
| | | | TOTAL INTEREST | | | | \$ 3,200,000.00 | | \$ 39,959.93 | |

TOTAL INVESTMENTS & INTEREST EARNINGS:

| | | | | | | | | | | |
|--|--|--|--|--|--|--|-------------------------|--|----------------------|--|
| | | | | | | | \$ 38,373,761.33 | | \$ 145,763.14 | |
|--|--|--|--|--|--|--|-------------------------|--|----------------------|--|

| | | | | | | | | | | |
|--|--|--|---|--|--|--|--|--|--|--|
| <i>David E. Peek</i> | | | NOTES: | | | | | | | |
| David E. Peek, Rockwall County Treasurer | | | 1. Earnings received reflects actual interest & dividends for Fiscal Year 2019 to date. | | | | | | | |
| | | | 2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy. | | | | | | | |